Supreme Court, U. S.
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IN THE

Supreme Court of the United States

OCTOBER TERM, 1976

No. 76-652

FRANKLIN H. LITTELL,

Petitioner,

—v.—

WILLIAM F. BUCKLEY, JR.,

Respondent.

PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT

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Petitioner prays that a writ of certiorari issue to review the judgment of the United States Court of Appeals for the Second Circuit entered in this case on June 30, 1976.

OPINIONS BELOW

The opinion of the United States Court of Appeals is reported at 539 F.2d 882 and is set out in the Appendix, infra, pp. 1-30. The opinion of the United States District Court for the Southern District of New York is reported at 394 F.Supp. 536 and is set

out in the Appendix, infra, pp. 37a-114a.

JURISDICTION

The judgment of the Court of Appeals was entered on June 30, 1976. A timely petition for rehearing and for rehearing en banc filed by respondent was denied on August 13, 1976. The jurisdiction of this Court rests upon 28 U.S.C. Section 1254(1).

QUESTIONS PRESENTED

1. Whether a published statement that William F. Buckley, Jr., a public figure, "could be taken to court by any one of several people" about whom he lied, was a constitutionally protected statement of opinion, epithet or rhetoric by an ardent political opponent. If not, did Buckley fail to satisfy his burden of proving by clear and convincing evidence that the statement was false and, if so, that it was made with malice.

2. Whether the First Amendment forbids the award of punitive damages to a public figure in a libel action.

STATEMENT OF THE CASE

In this case, William F. Buckley, Jr., the nationally known political commentator

and intellectual leader of the American conservative political movement, successfully sued Franklin H. Littell, a churchman involved in political as well as religious affairs, for libel for statements published in a book entitled Wild Tongues. At the conclusion of a bench trial, the district court, Hon. Thomas P. Griesa, found that the book contained three statements which libeled Buckley and awarded him $1.00 compensatory damages and $7,500 punitive damages. 1/ On appeal, the Court of Appeals reversed the finding that two of the statements were libelous, on the ground they were statements of opinion (App., infra, pp. 23, 25), sustained the finding as to the third statement (App., infra, p. 28), and ordered the punitive damages reduced to $1,000 (App., infra, pp. 29-30). The court rejected petitioner's argument that the First Amendment prohibited an award of punitive damages to a public figure (App., infra, p. 29).

1/ The publisher, MacMillan Company, was also named a defendant. It reached a settlement in the course of the trial on terms not revealed to petitioner. Thereafter, petitioner, who was relying generally on MacMillan to make the defense case, defended himself pro se. At the request of the court, MacMillan's attorney remained present and assisted Littell in an "amicus curiae" capacity.

The Alleged Libel

Wild Tongues was published by Macmillan in October 1969. It was voluntarily withdrawn from distribution in July 1970, when this action was begun. The book sold 941 hardcover and 8,226 soft cover copies. Littell received no royalties beyond a $500 advance. Macmillan's total revenues from sales of the book were $9,699 (AII 431-34).2/

The book was written for the purpose of demonstrating that "the threat from totalitarianism is a real threat even in America and we should take seriously the danger from extremists of the left . . . and extremists from the right" (AII 83). The book is particularly concerned with attacks from both left and right upon the Christian church. Wild Tongues, Forward.

The complaint alleged that five paragraphs on pages 50 and 51 of Wild Tongues defamed Buckley:

> The Fellow Traveler
>
> Whisking about the edges of any totalitarian movement is the "fellow traveler," pirouetting into the whirl-

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2/ The symbols AI and AII refer to the two-volume Joint Appendix filed in the Court of Appeals.

pool and out again as the vortex draws more powerfully and then recedes. His role is as dangerous to social health and as important to building up totalitarian parties as the equally ambiguous figure of the pseudo-conservative. The fellow traveler to the Communists or fascists is a fascinating psychological study: fascination with brute force and its misuse plays an important role. Students of communism have commented at length upon the party member's "psychology of the pawn"--his need to be misused and abused, to the destruction of his own personhood. The fellow traveler's responses are essentially feminine, registering the ambivalence of love and hate toward the master and mover.

The fellow traveler refuses to accept discipline and is therefore both used and despised by the party leaders. At the same time, he is dangerous to political movements and republican institutions of integrity, because he functions as a deceiver. He appears at times to be independent, but, when a major issue is at stake, he follows the party line.

Perhaps the most famous type in recent years was Von Ribbentrop, pseudo-intellectual and champagne salesman, who was of great use to the Nazi government in

giving an aura of respectability to international policies which, without a debonair front, might have been recognized readily for what they were: simple thuggery.

In America, the outstanding representative of this function is William F. Buckley, Jr., editor of The National Review and perennial political candidate. Buckley got his start as a smart young "intellectual" by writing a book, God and Man at Yale, upon graduating from his alma mater. The book has been soundly exposed and condemned by professors and overseers and loyal alumni for falsely twisting facts and for sheer malice. The National Review and his syndicated newspaper column, "On the Right," frequently print "news items" and interpretations picked up from the openly fascist journals and have been important and useful agencies for radical right attacks on honest liberals and conservatives.

Buckley has been caught out for misquotations (with quotation marks!) and for repeating radical right malice and rumor, but he never admits a mistake or apologizes to the victims. Like Westbrook Pegler, who lied day after day in his column about Quentin Reynolds and goaded him into a lawsuit, Buckley could be taken to court by any one of several

> people who had enough money to hire competent legal counsel and nothing else to do. Reynolds won his suit, of course, but it took all of his time and resources for most of three years, and he died shortly thereafter.

The district court found that the passage libeled Buckley in three respects (App., infra, pp. 50a, 64a-65a, 99a-103a).

> 1) It labeled him a fellow traveler of totalitarian fascism.
>
> 2) It accused him of deliberately misusing his position as a prominent journalist to purvey materials from openly fascist journals under the guise of responsible conservatism.
>
> 3) It charged him with engaging in the same type of libelous journalism against several individuals as Westbrook Pegler practiced against Quentin Reynolds.

The Court of Appeals reversed the judgment of the district court which found the first two statements libelous, but affirmed the conclusion that the third statement was libelous.

The Parties

It was stipulated at the trial that plaintiff William F. Buckley, Jr., is a

"public figure" (App., infra, p. 44a). One witness described him as "a preeminent figure" in the American "political dialogue today" and as one of the "major figures" in 1969 (AI 279). He has also been a "public official," having served as a United States official at the United Nations and on the Advisory Committee on Information of the United States Information Agency. He also was a candidate for mayor of New York City in 1965 and for the Yale University Board of Trustees (App., infra, p. 44a).

Buckley is the founder, owner and editor-in-chief of National Review, a fortnightly political journal. Its circulation now is about 110,000; in 1968-69, during the period involved in this suit, it was over 100,000. The National Review organization also publishes a newsletter devoted to the same ends on alternate weeks. Buckley is in ultimate control of everything published by the organization (AI 83-84; 128). Buckley is the author of a newspaper column entitled On the Right, carried three times a week by 350 newspapers throughout the country, about 100 more than carried it in 1968-69. It is now the second most widely sold political column, trailing only Jack Anderson; in 1968-69 it ranked third (App., infra, pp. 43a-44a).

The Buckley communications empire includes broadcast as well as print media. He hosts a weekly television show, Firing Line,

carried by about 75 commercial stations in 1969, and now broadcast by 200 Public Broadcast Service affiliates. He is chairman of the board and part owner of the Starr Broadcasting Group, which owns radio and television stations and a book publishing company (App., infra, pp. 43a, 44a).

Buckley has written eleven books, edited four more, and contributed to still others. He has also written numerous magazine articles. He delivers between 50 and 60 lectures annually. He frequently appears as a guest on television and radio programs (App., infra, p. 43a).

Although the complaint alleged that because of the libel, Buckley was "greatly injured in his good name and reputation and held up to public scorn, hatred, disgrace, scandal, contempt and dishonor" (AI 5), Buckley does not know of anyone who thought less of him after reading Wild Tongues (AI 193). Buckley made no attempt to prove any special damages such as actual harm to his reputation or business interests. The district court also found insufficient evidence to award more than nominal general damages of $1.00 for presumed impairment of plaintiff's reputation (App., infra, p. 114a). Indeed, according to Buckley's reputation witness, Buckley's prestige and influence have actually increased substantially since the time Wild Tongues was written (AI 65-69).

Defendant Franklin H. Littell is a prominent theologian who is actively involved in political affairs. Since 1969 he has been a professor in the Department of Religion at Temple University in Philadelphia. He was ordained a Methodist minister in 1941 and received a Ph.D. in church history from Yale in 1946.

Littell has served his church, his country, and the ecumenical cause in many capacities. He has taught at a number of universities and theological schools. As President of Iowa Wesleyan College for three years he completely reorganized the school and was offered its presidency for life. He has preached and lectured throughout the United States and Europe. He is program chairman of a bicentennial international conference on religious liberty to be held in Philadelphia in 1976 (AII 492-99).

For ten years in the 1940's and 50's Littell worked for the United States Government in Europe. He was chief Protestant advisor to the United States High Commissioner in Germany, and held other posts (AII 3, 479-91a).

Littell's primary interests lie in the areas of religious freedom, interfaith cooperation, the relationship of church and state, particularly the "church struggle" of all religions against totalitarian communist and fascist governments and other

anti-religious forces, and in the education and training of lay religious leaders. He had written twelve books (several published in German) and hundreds of articles at the time of the trial (AII 469-99). He is an expert in the field dealt with in Wild Tongues (Test. of Clement Alexander, 4/23/74, at jqh 20).[3/] However, Littell testified that "Wild Tongues is the only book that I have published which I conceived and have thought of as a political tract, a tract for the times rather than something that was distinctly scholarly or churchly" (AII 440, 497).

Littell became involved in political affairs through this religious work. Over the years, his concern was aroused more and more by the threat he saw posed by extremist groups and individuals to organized religion and to democratic society upon which he believed freedom of religion depended. He had observed firsthand the impact of communism on religious and political freedom in Eastern Europe and had studied in great depth and talked with participants in the struggle against fascism in Western Europe.

In this country, Littell intensely

3/ The transcript of Alexander's testimony was prepared too late for inclusion in the Joint Appendix below.

studied individuals and organizations he believed were totalitarian in nature or outlook. He read many books and articles on the subject. He kept track of the various journals published by totalitarian groups. Most importantly, each year he gave a graduate seminar on the subject; through his students' and various assistants' and associates' research into the goals and relationships of totalitarian groups, he gained very extensive knowledge of their operations (See, e.g., AII 99-104, 152-55, 158, 342-43, 378-79).

Ultimately, to fight against totalitarian influences in American religious and political life, Littell became chairman in 1966 of a newly formed organization, the Institute for American Democracy (IAD). The IAD intended to teach Americans what Littell firmly believed--that "liberals and conservatives have more in common with each other than either one of them" has with the "disciplined cadres" and "fellow travelers" of the extreme left and right which seek to undermine both religious and political freedom and institutions (AII 499-500).

Thus, for Littell, the writing of Wild Tongues became part of a "counter crusade," a "Church struggle [he] was living with" (AII 366). Like the IAD, the book was intended to demonstrate that "the threat from totalitarianism is a real threat even in America and we should take seriously the danger from extremists of the left . . . and extremists from the right" (AII 83).

The Statement

Although Buckley testified that "there is nobody about whom I have lied ever, not to mention day after day, at least not intentionally" (AI 100), he has found it necessary to publish numerous apologies and corrections in the National Review (AI 107-111). Buckley admitted he had used his publications to wage campaigns against "lots of people" (AI 100), and that he had "goaded" Linus Pauling into a lawsuit (AI 101). Furthermore, Buckley has actually been sued for libel by Linus Pauling, Fowler Harper, Gore Vidal and an unidentified lawyer described by Buckley only as "some creep from New Jersey"(AI 183-85), while others have threatened to do so (AI 232). In the Harper suit, Buckley made a substantial cash settlement out of court (AI 184, 241-42). Although Pauling's suit against Buckley had been dismissed by the time Wild Tongues was written, Gore Vidal's counterclaim charging libel was not disposed of until 1972 (AI 180-81).

Allard Lowenstein, testifying for Buckley, denied that Buckley had a reputation for misquoting people, for lying about people, for engaging in personal vendettas, or for never admitting a mistake where an apology was warranted (AI 273-74). But Lowenstein had heard it said that Buckley misquotes and distorts people's views (AI 297). In fact he testified that such

accusations are not uncommon and "are to be expected by people in public life" (AI 298).

Buckley produced no evidence independent of Littell's testimony to show actual malice in the making of the Pegler reference.

Littell testified that he intended in the passage to criticize Buckley's "goading," "hounding" and "excessive pursuit" of many people for whom Littell had great respect and a number of whom he knew personally (See e.g. AII 233-35, 415-22, 453, 458-60).

Littell strongly denied that he intended to say Buckley lied day after day, in the sense of printing inaccurate facts about individuals, as did Pegler, as the district court later found(AII 417, 460-61). Nor did he intend to accuse Buckley of making the particular kinds of charges (cowardice, sexual misconduct, etc.) which Pegler made against Reynolds (AII 420-21, 456-57).

To support the statements he believed he had made, Littell cited Buckley publications which he believed implied people were communists. The victims of these smears included Littell's personal friends Bayard Rustin, Rev. A. J. Muste and Dorothy Day (AII 166-72, 417-19). Littell described Muste and Day as "two of the most saintly people that we have had in the churches in the last 75 years" (AII 171-72).

Particularly odious to Littell were repeated slurs on Martin Luther King, Jr., and frequent gross misrepresentations of his positions, amounting in the general sense to lies. Littell had the greatest respect for King, who was once his student. While Littell acknowledged, for example, that Buckley's article concerning King's assassination expressed great sorrow, he considered it "nihilistic in the worst sense" to equate, as Buckley did, King's conscience with James Earl Ray's irrespective of any disagreements on political issues (AII 135-38, 419-20).

Littell believed Buckley repeatedly and obsessively published half-truths and heavily shaded articles about King and the Kennedy brothers. These did not include "just a little misrepresenting or a little shading," but rather Littell felt Buckley "really set out to say something . . . which isn't true" "again and again and again, and obsessively." According to Littell, this "falls within the general category of lying" (AII 415-16). Buckley "never lost a chance to write" King and the Kennedys "into the ground and to treat [them] contemptuously" (AII 235).

Littell was thinking when he wrote the passages "that if you grossly and deliberately misrepresent somebody, like accusing Martin Luther King of being a doctor of lawbreaking and being an anarchist and a disciple of violence, and so forth, well that's a lie. It is a certain theoretical level lie

instead of a really precise detailed lie" (AII 459; see also AII 220-22).

Littell emphatically denied having read the description of the Pegler-Reynolds affair in Louis Nizer's book My Life in Court (AII 416, 445, 448, 461). The trial judge relied on the book over objection, to ascertain how a reader of Wild Tongues would have interpreted the Pegler reference (AII 445-47; App., infra, pp. 63a-64a; AII 545). Yet the judge himself and defense counsel at trial both had only the haziest recollection of the Pegler-Reynolds affair (AI 62-63; AII 447, 462). Littell had read some other brief description of that case before writing the passage (AII 416, 445, 448, 461). He testified that when he wrote the passage he had in mind the fact that Buckley "relentlessly, obssessively, went after" King, and he saw this as similar to Pegler's goading and hounding of Reynolds for the latter's alleged cowardice as a war correspondent (See AII 220-22, 415-21, 443, 452-61). Although he reacted less strongly, Littell felt Buckley did the same thing to the Kennedys (AII 235, 453, 456, 460).

Also on Littell's mind when he wrote the "Pegler" passage were his own experiences with Buckley involving charges and countercharges between the two growing out of Littell's leadership in the Institute for American Democracy (App., infra, pp. 45a-47a). In addition to the

material directly involving the IAD, Buckley attacked Littell in another way during the same period. The incident began in August 1967 when National Review carried a brief report (P. Ex. 14), based on a newspaper article (AI 31; P. Ex. 16B), which inaccurately stated that Littell had given a speech (in fact it was read in his absence) calling "right-wingers" disloyal and advocating that they be "muted and rendered ineffective" (AI 133-34, 147). Littell wrote to Buckley (D. Ex. B) protesting the inaccuracy and the fact the article misleadingly indicated Littell attacked only the right, rather than both left and right. Letters were exchanged, and in an On the Right piece called "Who Are the Totalitarians" in February 1968 (D. Ex. A) Buckley sharply criticized the IAD and Littell. Based only on their correspondence and the text of the proposed speech, Buckley called Littell "an abusive rhetorician" (AI 141). The article also misquoted the speech again (AI 85-88, 148-50). The following month another column (D. Ex. D) quoted excerpts from the Buckley-Littell war of letters, criticizing Littell and implying he had taken leave of his senses (AI 152-58). Buckley left out the first paragraph of Littell's letter (P. Ex. 17) which argued that honest conservatives and honest liberals should stand together against extremist elements because "it's cant. . . . I don't republish banalities," (AI 153), yet this was the point Littell believed was most important.

Littell did not consider the reference to Buckley as a libeler to be a legal judgment, although he did think that some people Buckley attacked could well have made libel claims (AII 417, 441-42). He testified:

> What I had in mind when I wrote the passage is that some very good people had been treated with utter injustice and I felt that they deserved some protection. . . . [T]here ought to be some way that they could get back at Mr. Buckley. Of course, it is purely theoretical because Dorothy Day is a Sermon on the Mount Christian and she wouldn't go to court if somebody hit her on the head. Muste is an absolute pacifist. He wouldn't go to court.
>
> It wasn't a legal judgment so much as an expression of feeling, if you will (AII 442-43).

REASONS FOR GRANTING THE WRIT

Certiorari should be granted because in partially sustaining the libel award against petitioner, the Court of Appeals decided a federal question in a way in conflict with applicable decisions of this Court. As to the question whether punitive damages may be awarded to a public figure,

certiorari should be granted because the Court of Appeals decided a federal question which has not been, but should be, settled by this Court.

1. The Statement

In affirming the conclusion that Littell had libeled Buckley by having said, "Like Westbrook Pegler, who lied day after day in his column about Quentin Reynolds and goaded him into a lawsuit, Buckley could be taken to court by any one of several people who had enough money to hire competent legal counsel and nothing else to do," the Court of Appeals erroneously rejected the argument that the statement was protected opinion, epithet and rhetoric; erroneously concluded that the statement had been proven false; and erroneously adopted the district court finding that the statement was made with the actual malice required by the First Amendment.

It is important that the Court review this case in order to serve the compelling First Amendment interests that are intrinsic to suits for libel filed by public figures. From New York Times v. Sullivan, 376 U.S. 254 (1964), to Gertz v. Welch, 418 U.S. 323 (1974), this Court has developed a policy which recognizes that, under the First Amendment, the public interest in free debate is superior to the private interest of a public official or public figure in

his reputation, for whoever chooses to participate in public affairs voluntarily puts his reputation in jeopardy. And "Criticism . . . does not lose its constitutional protection merely because it is effective criticism and hence diminishes . . . reputations." New York Times, supra at 273; Monitor Patriot Co. v. Roy, 401 U.S. 265, 275 (1971).

It is especially important for the Court to review this case because it involves a plaintiff whose full-time occupation is the public expression of political opinion, including very often the use of the ad hominen argument.[4/] It involves, moreover, a plaintiff who is no garden-variety public figure, but rather the nation's leading advocate, propagandist, and theoretician of a particular political ideology who produces a dazzling quantity of spoken and written material, and who can be safely said to have a substantial influence on American political life. Nonetheless, immersed as he is in the core of controversial public debate with an arsenal of media weapons at his command, Buckley has been permitted in this case to extract a substan-

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4/ It has been said of Buckley that he "slithers venomously across the usual broad terrain of sacred and secular topics." New York Times Book Review, September 28, 1975, p. 3.

tial amount of money through the courts from an ideological opponent who invoked a mildly graphic turn of phrase to emphasize his opposition to Buckley and his philosophy.

Buckley's right to burden free speech by employing the courts to vindicate his injured feelings by levying a "private fine" (Gertz v. Welch, supra at 350) against petitioner, must be carefully examined by this Court.5/

The Court has recognized that the position of a plaintiff in a libel suit is significant in determining "whether he has a legitimate call upon the court for protection in light of his prior activities and means of self-defense." Curtis Publishing Co. v. Butts, 388 U.S. 130, 154 (1967). Buckley is a notable example of a public figure who should be required to satisfy the most rigorous burden of proof in libel actions so that his opponents will never be discouraged from public criticism out of fear that they will be subjected to costly and time-consuming suits for libel. Mr. Chief Justice Warren precisely articulated these interests in Curtis Publishing Co. v.

5/ See Time Inc. v. Pape, 401 U.S. 279, 284 (1971); St. Amant v. Thompson, 390 U.S. 727, 731 (1968).

Butts, supra at 164. He pointed out that public figures unlike public officials, are not subject to the restraints of the political process. Though they often play an equally influential role, he thought that "the fact that they are not amenable to the restraints of the political process only underscores the legitimate and substantial nature of the interest [in the conduct of such persons], since it means that public opinion may be the only instrument by which society can attempt to influence their conduct." But that influence will not be exercised at all if the loose standards employed by the courts below allow a public figure of Buckley's dimension to throttle criticism by the imposition of stiff penalties under erroneous legal and factual standards. See also Gertz v. Welch, supra at 344.

The proof at trial showed that Littell reasonably believed that Buckley "lied day after day" in two senses. First, Buckley had in fact been sued four times for libel, and had been required in one of those cases to settle the dispute by payment of a substantial sum of money. Supra, p. 13. He was also thought by petitioner to be a liar in the sense that Buckley deliberately misrepresented Martin Luther King by describing him as being "a doctor of lawbreaking and being an anarchist and a disciple of violence and so forth . . . It is a certain theoretical

level lie instead of a really precise detailed lie." He also believed that Buckley lied in the same way about the Kennedy brothers and other public figures. Thus, petitioner's statement that Buckley "lied day after day," should be characterized as protected opinion, epithet or rhetoric. Consequently certiorari should be granted so that the Court may review the conclusion below that the statement was fact not opinion, rhetoric or epithet; that it was false; and that petitioner made the statement knowing it to be false, or in reckless disregard of whether it was false or not.

If the Court should fail to review and then to reverse the decision below it will have approved a serious retreat from the philosophy of New York Times v. Sullivan, and will invite erosion of the principle of uninhibited, robust and wide-open debate. If a Buckley can get away with $1,000 because he takes offense at the language employed against him by a political opponent, any other public figure can hope for even more profitable results, and the First Amendment will be the real loser.

2. Damages

In Gertz v. Welch, supra at 350, the Court held that punitive damages cannot constitutionally be awarded to a private plaintiff in libel who has not proven

"actual malice." That was the Court's first holding that the measure of damages is subject to First Amendment limitations. The Court, of course, did not have to decide whether punitive damages are permitted in suits by public officials or public figures. Though punitive damages were upheld by a plurality in Curtis Publishing Co. v. Butts, supra at 161, Gertz clearly departs from the Curtis Publishing implication that compensatory and punitive damages are subject to the same constitutional standard.

The principal reason that Gertz put First Amendment limits on punitive damages was that their award "unnecessarily exacerbates the danger of media self-censorship" (418 U.S. at 350). It does so, according to the Court, without serving the legitimate competing interest in compensating persons for actual damage to reputation, Id. at 348, since punitive damages "are not compensation for injury." Id. at 350. This rejects the Curtis Publishing assertion that "punitive damages serve a wholly legitimate purpose in the protection of individual reputation." 388 U.S. at 161. And there is even less justification for protecting the reputation of public officials and figures who voluntarily put their reputations in jeopardy by participating in public affairs.

Furthermore, punitive damages have a suspicious resemblance to criminal penalties

and Gertz actually labels them "private fines." 418 U.S. at 350. If the state is to serve so completely punitive an interest when the "victim," as in this case, has suffered no harm at all, after voluntarily putting himself in a position to be offended by public debate about public issues, it must be said that petitioner has a strong argument for receiving at least some of the procedural protections of a criminal action. Cf. Garrison v. Louisiana, 379 U.S. 64 (1964). Particularly relevant is the privilege against self-incrimination, especially in this case where virtually all of the proof of malice was extracted from petitioner as Buckley's principal witness.

These issues should be fully explored and decided by the Court because they affect the extent of protection of speech and press under the First Amendment.

CONCLUSION

For the reasons set out above, the writ of certiorari should be granted.

Respectfully submitted,

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November 1976

APPENDIX

UNITED STATES COURT OF APPEALS

FOR THE SECOND CIRCUIT

No. 623—September Term, 1975.

(Argued March 30, 1976 Decided June 30, 1976.)

Docket No. 75-7358

WILLIAM F. BUCKLEY, JR.,

Appellee,

v.

FRANKLIN H. LITTELL,

Appellant.

Before:

KAUFMAN, *Chief Judge,*
OAKES and GURFEIN, *Circuit Judges.*

Appeal from judgment in defamation action in United States District Court for the Southern District of New York, Thomas P. Griesa, *Judge,* of $1 compensatory and $7,500 punitive damages.

Affirmed in part, reversed in part.

MELVIN L. WULF, American Civil Liberties Union, New York, N.Y. (David A. Barrett, Joel M. Gora, New York, N.Y., of counsel), *for Appellant.*

C. DICKERMAN WILLIAMS, New York, N.Y. (Windels & Marx, J. Daniel Mahoney, New York, N.Y., of counsel), *for Appellee.*

OAKES, *Circuit Judge:*

This appeal is from a judgment for libel obtained by the public figure, William F. Buckley, Jr., against Franklin H. Littell for statements made in the latter's book entitled Wild Tongues. Judgment was rendered after trial by the United States District Court for the Southern District of New York, Thomas P. Griesa, *Judge,* without a jury, on the basis of three defamatory statements, in the sum of one dollar compensatory and $7,500 punitive damages. *See Buckley* v. *Littell,* 394 F. Supp. 918 (S.D.N.Y. 1975). We reverse in part, affirm in part and reduce the punitive damages to $1,000.

Wild Tongues was published by The MacMillan Co. in 1969, on the subject of the threat of totalitarianism to American religion and politics. Subtitled "A Handbook of Social Pathology," it purports to be a timely study of political extremism—both of the radical right and left—though the greater part of its content is directed at extremism from the "radical right." Evidently the book was written primarily for laymen and not, as Dr. Littell's earlier books had been, for a scholarly audience. The jacket states that the author's purpose was to demonstrate how the "pathological style" may be recognized, whatever its posture in the body politic. While the book considers that "the most dangerous internal challenge to America comes from the fascist wing," Wild Tongues at 35, it also condemns the threat from the left, especially from the campus Communists and extremist-controlled civil rights and peace movements. Focusing on the right, it views the John Birch

Society as a principal threat to America, together with its assorted "fronts," especially the "Church League of America." An underlying theme of the book is the threat to Christian citizenship posed by extremism and a subtheme, if not the principal theme, is to the effect that the greatest gift to the totalitarians is "religious and political indifference and apathy." Having outlined in general the intended purposes of the book, we set forth the paragraphs containing the alleged defamatory statements in the margin.[1]

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1 The alleged defamatory paragraphs read as follows:

Whisking about the edges of any totalitarian movement is the "fellow traveler," pirouetting into the whirlpool and out again as the vortex draws more powerfully and then recedes. His role is as dangerous to social health and as important to building up totalitarian parties as the equally ambiguous figure of the pseudo-conservative. The fellow traveler to the Communists or fascists is a fascinating psychological study: fascination with brute force and its misuse plays an important role. Students of communism have commented at length upon the party member's "psychology of the pawn"—his need to be misused and abused, to the destruction of his own personhood. The fellow traveler's responses are essentially feminine, registering the ambivalence of love and hate toward the master and mover.

The fellow traveler refuses to accept discipline and is therefore both used and despised by the party leaders. At the same time, he is dangerous to political movements and republican institutions of integrity, because he functions as a deceiver. He appears at times to be independent, but, when a major issue is at stake, he follows the party li[illegible]

Perhaps the most famous type in recent years was Von Ribbentrop, pseudo-intellectual and champagne salesman, who was of great use to the Nazi government in giving an aura of respectability to international policies which, without a debonair front, might have been recognized readily for what they were: simple thuggery.

In America, the outstanding representative of this function is William F. Buckley, Jr., editor of *The National Review* and perennial political candidate. Buckley got his start as a smart young "intellectual" by writing a book, *God and Man at Yale*, upon graduating from his *alma mater*. The book has been soundly exposed and condemned by professors and overseers and loyal alumni for falsely twisting facts and for sheer malice. *The National Review* and his syndicated newspaper column, "On the

It was stipulated below, 394 F. Supp. at 922, that appellee William F. Buckley, Jr., is a "public figure" as defined by the United States Supreme Court in *Curtis Publishing Co.* v. *Butts,* 388 U.S. 130 (1967), and more recently in *Gertz* v. *Robert Welch, Inc.,* 418 U.S. 323, 342, 345 (1974):

> Those who, by reason of the notoriety of their achievements or the vigor and success with which they seek the public's attention, are properly classed as public figures . . . .
>
> For the most part, those who attain this status have assumed roles of especial prominence in the affairs of society. Some occupy positions of such persuasive

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Right," frequently print "news items" and interpretations picked up from the openly fascist journals and have been important and useful agencies for radical right attacks on honest liberals and conservatives.

Buckley has been caught out for misquotations (with quotation marks!) and for repeating radical right malice and rumor, but he never admits a mistake or apologizes to the victims. Like Westbrook Pegler, who lied day after day in his column about Quentin Reynolds and goaded him into a lawsuit, Buckley could be taken to court by any one of several people who had enough money to hire competent legal counsel and nothing else to do. Reynolds won his suit, of course, but it took all of his time and resources for most of three years, and he died shortly thereafter.

They are immediately followed by:

As his lack of respect for the rules of the dialogue and his constant undermining of respect for American leadership and institutions reveal, Buckley is not a "conservative" at all. The streak of ideological taint and moral nihilism is too pronounced, even though he is probably not under the direct control of any subversive party. When he publicly criticized Robert Welch of the John Birch Society, Buckley did not do it because Welch led a fascist-type conspiracy and used immoral tactics to undermine the constitutional order. Buckley said Welch was too extreme to be successful. When Dr. Martin Luther King, Jr. was assassinated, Buckley wrote in his column a tortuously reasoned explanation that the murderer represented the error of an independent conscience, which was Dr. King's error too.

"Truth," asked Pilate, "What is truth?"

> power and influence that they are deemed public figures for all purposes. More commonly, those classed as public figures have thrust themselves to the forefront of particular public controversies in order to influence the resolution of the issues involved. In either event, they invite attention and comment.

From the time Buckley first wrote his book God and Man at Yale he has inspired considerable comment and he has been much in the public eye, founding in 1955 and editing The National Review which in 1968-69 as a fortnightly had a circulation of about 100,000 copies per issue and has an even larger circulation now. Since 1964 Buckley has been the author of a syndicated newspaper column, "On the Right," appearing three times weekly in 250 newspapers in 1968-69 and in about 350 newspapers today. Beyond this he has a weekly television show entitled "Firing Line," carried first by commercial television and subsequently by public broadcasting and radio. The evidence is that his column, "On the Right," was the third most widely-sold column of political commentary in 1968 and 1969 and is second only to Jack Anderson's column today. Buckley is a lecturer, the author of a number of books and articles, and was chairman and part owner of the Star Broadcasting group, which owns radio and television stations and a book publishing company. He was the unsuccessful Conservative Party candidate for mayor of New York in 1965 and served for three years on an advisory committee of the United States Information Agency; in 1973 he was a public member of the United States delegation to the 28th General Assembly of the United Nations. At one time he was also a candidate for the Yale University Board of Trustees. He is a frequent guest on television and radio programs and is recently a successful novelist. The substance of much of his writing and speak-

ing is political. He may fairly be described as perhaps the leading advocate, idealogue or theoretician of conservative political beliefs and ideas. He is, in short, a public figure for all purposes and in the classic sense of the Supreme Court cases. *Cf. Time, Inc.* v. *Firestone,* 44 U.S.L.W. 4262, 4263-64 (U.S. Mar. 2, 1976).

Appellant, Franklin H. Littell, is a theologian who has also been involved in public affairs. He was ordained a Methodist minister in 1941 and received a Ph.D. in church history from Yale in the same era as Buckley was graduating from that esteemed university. He was the chief Protestant advisor to the United States High Commissioner in Germany, principally engaged in "de-Nazification," in 1949-1951. Since then he has taught at a number of universities and theological schools, has been president of Iowa Wesleyan College, has preached and lectured throughout the United States and Europe, and presently is a professor at Temple University. He wrote the book Wild Tongues principally, he said, out of his concern for the threat to democratic society posed by extremist groups and individuals and based upon his observations of the impact of communism in Eastern Europe and of his rather considerable experience in reference to the impact of fascism in Western Europe. The book was written following the assassinations of Dr. Martin Luther King, Jr., and Senator Robert F. Kennedy, which excited Dr. Littell's emotions as they did many others'; the "wild tongues" of its title is taken from a stanza of Kipling's "Recessional" and refers to the "demagogues" who bring malice and confusion to political dialogue. *See* Wild Tongues at 118-19. Dr. Littell has given seminars on church struggles with totalitarianism, and is the author of 12 books on topics of religious liberty. In 1966 he became chairman of an organization called the Institute for American Democracy (IAD), a group founded for the purposes

of opposition to political extremists. Following the formation of the IAD, both it and Littell were attacked beginning in January, 1967, in the John Birch Society Bulletin, in News and Views of the Church League of America, and in other conservative periodicals and broadcasts as well as by the National Review. At one point in 1967, Littell testified, his living room window was shot out and his family received threatening and obscene telephone calls. There were continued attacks upon him in News and Views in June 1968, in Buckley's column in July, 1968, and in News and Views in November, 1968, which was cited with approval in the National Review that month.

Littell's reference to Buckley in Wild Tongues grew, according to Littell's testimony, out of his observations of Buckley's politics beginning in the late 1950's, and more particularly out of a personal exchange with Buckley which involved a speech written by Littell for delivery to the National Education Association in 1967 on the subject of left and right extremism. The National Review's Bulletin carried a report of the speech on August 15, 1967, as an attack on the right wing, purporting to quote Littell but using, as it turned out, an inaccurate Rocky Mountain News report of the speech for its direct quotations. In November, 1967, Littell wrote to Buckley, complaining of misquotation in the Bulletin and enclosing a copy of his speech for comparison, adding that he appreciated Buckley's "effort to maintain an honest and non-Fascist Conservatism," and therefore expected him to check his sources. When Buckley informed Littell on January 8, 1968, that he was preparing a column involving Littell's speech, Littell wrote again on January 14 to explain his protest of the report of his speech and asked for a correction in these terms:

> . . . I had considered you and your journal a considerable cut above [the extremists of Left and Right].

> Sometimes your comments reveal ideological taint, but this is a very general malaise today, even among decent people. I have taken pains in response to questions in public lectures to distinguish your work from that of the Fascist, and I think if you are truly a Conservative I think you will agree that I am entitled to the same trouble.

The "trouble" Buckley gave Littell appeared in his column "On the Right" on February 10, 1968, under the title "Who Are the Totalitarians?" Buckley admitted at trial that he referred to Littell's speech with inaccurate direct quotations labeling Robert Welch and Gerald L. K. Smith part of the "fascist underworld." The substance of Buckley's criticism of Littell's argument for suppression of political extremism was reflected in his queries, "Where do you draw the line?" and "What does Dr. Littell mean by fascist?" Buckley termed Littell an "abusive rhetorician," and the IAD, the "successor" to the National Council for Civic Responsibility, a "phoney outfit" "commissioned to defame Senator Goldwater." After receiving another protest from Littell, calling Buckley a "smart aleck without principles," and a request for a correction from the Executive Secretary of IAD which was denied, Buckley published excerpts of the Buckley-Littell correspondence in the March 12, 1968, issue of the National Review without Littell's knowledge. It was in the context of this strongly-worded interchange, as well as the continuing criticism of Littell and the IAD in the National Review, that the reference to Buckley in Wild Tongues came about, *see* note 1, *supra*.

As we read Judge Griesa's extensive opinion he found that the passage set forth in note 1, *supra*, libeled Buckley in three respects: first, by labeling Buckley as a "fellow traveler" of "fascism" as those terms are defined in Wild

Tongues, *see* 394 F. Supp. at 924-25, 929, 940; second, by saying that he acts as a "deceiver" and uses his journalistic position to spread materials from "openly fascist journals" under the guise of responsible conservatism, *see id.* at 929, 933-34, 940; and third, by accusing Buckley of engaging in the same kind of libelous journalism as Westbrook Pegler practiced against Quentin Reynolds.[2] *See id.* at 924-25, 929, 939-40. The district judge's extensive exegesis of the book in question may be summarized by the statement that he interpreted the passage regarding Buckley, taken in context with other portions of the book, as labeling Buckley as the outstanding representative in this country of the function of fascist fellow traveler, associated with clearly specified evils which the court found to include "disloyalty to the government of this country, advocacy of conspiratorial overthrow of the government, devotion to violence and disruptive tactics for the subversion of our institutions, including churches." *Id.* at 929.

The court held that the three libelous statements were made "with knowledge of their falsity or in reckless disregard of whether they were true or false," a proposition which it found had been demonstrated "with convincing clarity," *id.* at 940, primarily by Littell's own testimony of his opinions and intentions recounted at considerable length in the opinion, *id.* at 932-34, 936-40. The court took the view that it was evident from the testimony that there are "substantial differences between what the book states and what Littell in fact believed." *Id.* at 932.

The common law of libel relied upon by the court below as set forth in the New York cases was that courts will not strain to interpret commentary in its mildest and most inoffensive sense. *Mencher* v. *Chesley*, 297 N.Y. 94, 99,

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2 *See Reynolds* v. *Pegler*, 223 F.2d 429 (2d Cir.), *cert. denied*, 350 U.S. 846 (1955).

75 N.E.2d 257, 259 (1947). The test applied to determine whether a passage is libelous was rather what the overall effect would be upon an ordinary reader. *Everett* v. *Gross,* 22 App. Div. 2d 257, 254 N.Y.S.2d 561 (1st Dep't 1964). Since those cases were decided, however, *New York Times Co.* v. *Sullivan,* 376 U.S. 254 (1964), and many Supreme Court cases following it have recognized that the law of defamation is one which has enormous First Amendment implications and which may constitute an intrusion upon the prohibitions of that amendment. The law of defamation has in effect been rewritten in the light of the constitutional imperatives.[3] *See* Restatement (Second) of Torts, Special Note at 3 (Tent. Draft No. 21, 1975).

As to our role in reviewing a libel case, the First Amendment requires careful appellate review of the facts found at trial which have constitutional significance. As the Court said in *New York Times Co.* v. *Sullivan, supra:*

> [T]he rule is that we "examine for ourselves the statements in issue and the circumstances under which they were made to see . . . whether they are of a character which the principles of the First Amendment . . . protect . . . ." [Citations omitted.] We must "make an independent examination of the whole rec-

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3 *See* Eaton, *The American Law of Defamation Through* Gertz v. Welch, Inc. *and Beyond: An Analytical Primer,* 61 Va. L. Rev. 1349, 1364 et seq. (1975); Robertson, *Defamation and the First Amendment: In Praise of* Gertz v. Robert Welch, Inc., 54 U. Tex. L. Rev. 199 (1976). For one of the early recognitions of the transmutation of the law of defamation into a subject of constitutional imperatives *see* Kalven, *The New York Times Case: A Note on the Central Meaning of the First Amendment,* 1964, S. Ct. Rev. 191.

The district court, unfortunately, did not approach the task of interpreting the debatable meaning of the alleged libels in the light of the imperatives of "uninhibited, robust, and wide-open" debate, *New York Times Co.* v. *Sullivan,* 376 U.S. 254, 271 (1964), but only discussed their implications once its search for the "proper interpretation" of Wild Tongues had ended. 394 F. Supp. at 925, 929, 931, 942.

> ord" . . . so as to assure ourselves that the judgment does not constitute a forbidden intrusion on the field of free expression.

376 U.S. at 285. *See also, e.g., Time, Inc.* v. *Pape,* 401 U.S. 279, 284 (1971); *Fiske* v. *Kansas,* 274 U.S. 380, 385-86 (1927). It may be true that, as a general rule, we are permitted only to review findings of fact under the "clearly erroneous" standard when the factfinder is a judge. 9 C. Wright & A. Miller, Federal Practice and Procedure § 2585, at 730-31, 734 (1971). But when interpretation of a communication in the light of the constitutional requirements is involved, our scope of review is to examine in depth the "statements in issue" and the "circumstances in which they are made." *See New York Times Co.* v. *Sullivan, supra,* 376 U.S. at 285 n.26; *Davis* v. *Schuchat,* 510 F.2d 731, 735-36 (D.C. Cir. 1975); *Goldwater* v. *Ginzburg,* 414 F.2d 324 (2d Cir. 1969), *cert. denied,* 396 U.S. 1049 (1970). It is our duty to "re-examine the evidentiary basis" of the lower court decision, *Time, Inc.* v. *Pape, supra,* 401 U.S. at 284, in the light of the Constitution.

Before undertaking such review, however, we may say parenthetically that we reject appellant's suggestion that somehow Buckley is "libel-proof," the suggestion being based on our decision in *Cardillo* v. *Doubleday & Co.,* 518 F.2d 638 (2d Cir. 1975), where we held that an habitual criminal could not recover in a libel action based upon references to him in a book about the Mafia. Mr. Buckley, despite the fact that he has spent a life in politics as a principal spokesman for a controversial political position and is eminently prominent, obviously may be the target of libel; his reputation, however capable he may be of answering false and defamatory attack with the communications resources at his command, is nevertheless one that could suffer under the onus of

defamation. The victims of the era of McCarthyism who had occupied positions of prominence in the radio, television and cinema fields are ample proof of that. The doctrine of "libel-proof" defendants that our *Cardillo* case enunciated is a limited, narrow one, which we will leave confined to its basic factual context.

When we confront the constitutional law of libel, it is at once evident, as set forth first in *New York Times Co.* v. *Sullivan, supra,* that our primary concern must be the "profound national commitment to the principle that debate on public issues should be uninhibited, robust and wide open and that it may well include vehement, caustic and sometimes unpleasantly sharp attacks . . . ." 376 U.S. at 270. From this premise proceeds the conclusion, made explicit in *Gertz* v. *Robert Welch, Inc., supra,* that "[u]nder the First Amendment there is no such thing as a false idea. However pernicious an opinion may seem, we depend for its correction not on the conscience of judges and juries but on the competition of other ideas." 418 U.S. at 339-40. Our Constitution thus contemplates a bias toward unfettered speech at the expense, perhaps, of compensation for harm to reputation, at least where a public figure and a topic of enormous public interest, going to the heart of political discourse, is concerned. *Id.* at 339-43. *See generally Ocala Star-Banner Co.* v. *Damron,* 401 U.S. 295, 300-01 (1971); *id.* at 301 (White, *J.*, concurring); *Time, Inc.* v. *Pape, supra,* 401 U.S. at 290-92; *Monitor Patriot Co.* v. *Roy,* 401 U.S. 265, 274-77 (1971); *Greenbelt Cooperative Publishing Ass'n* v. *Bresler,* 398 U.S. 6, 11-12 (1970); *St. Amant* v. *Thompson,* 390 U.S. 727, 731-33 (1968); *Beckley Newspapers Corp.* v. *Hanks,* 389 U.S. 81, 82-85 (1967); *Curtis Publishing Co.* v. *Butts, supra,* 388 U.S. at 146-53; *Rosenblatt* v. *Baer,* 383 U.S. 75, 79-86 (1966). Here involved is an exchange, however heated, about systems of government, about

democracy and totalitarianism and about the continuation of the freedom of religious worship; surely these are matters where the widest latitude for debate in the interests of the First Amendment must be furnished. As was said in *Cantwell* v. *Connecticut*, 310 U.S. 295, 310 (1940), *quoted in New York Times Co.* v. *Sullivan, supra*, 376 U.S. at 271:

> In the realm of religious faith, and in that of political belief, sharp differences arise. In both fields the tenets of one man may seem the rankest error to his neighbor. To persuade others to his own point of view, the pleader, as we know, at times, resorts to exaggeration, to vilification of men who have been, or are, prominent in church or state, and even to false statement.

Hence mere falsity and defamation is not enough. There must be "actual malice," as that term has been constitutionally defined, to permit recovery in this area, affecting as it does "the essence of self-government." *Garrison* v. *Louisiana*, 379 U.S. 64, 74-75 (1964). Repeatedly the Court has said that ill will toward the plaintiff or bad motive, indeed, hatred, spite or desire to injure, are not the kind of "malice" that the *New York Times Co.* v. *Sullivan* test comprehends. *National Association of Letter Carriers* v. *Austin*, 418 U.S. 264, 281 (1974); *Rosenblum* v. *Metromedia, Inc.*, 403 U.S. 29, 52 n.18 (1971) (opinion of Brennan, *J.*); *Greenbelt Cooperative Publishing Ass'n* v. *Bresler, supra*, 398 U.S. at 9-11. The appellee, a public figure, must rather have demonstrated with convincing clarity not only that the appellant's statements were false, but that appellant knew they were false or made them with reckless disregard of their truth or falsity. *Gertz* v. *Robert Welch, Inc., supra*, 418 U.S. at 342; *New York Times Co.* v. *Sulli-*

van, supra, 376 U.S. at 279-80, 285-86. But the interpretation of what is libelous must also be measured very carefully because, as Mr. Justice Harlan said in *Curtis Publishing Co.* v. *Butts, supra,* 388 U.S. at 155, public officials and public figures have "sufficient access to the means of counter-argument to be able 'to expose through discussion the falsehood and fallacies' of the defamatory statements." This principle was expounded and reinforced in *Gertz, supra,* 418 U.S. at 344.

We will examine each of the three alleged libels separately in light of these First Amendment imperatives.

The first is that Buckley is a fellow traveler of fascism as those terms, "fellow traveler" and "fascism," are defined in Wild Tongues. The judge's view of the book was that "[i]t is clear that Buckley is charged with being a fellow traveler of the fascists." 394 F. Supp. at 925. But the book does *not* say this; this is an interpretation of the passage which is possible, but it is only one interpretation. Parenthetically it was also essentially Buckley's own interpretation[4] that the court drew from him in response to a series

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4 On appeal, appellee's brief goes quite a bit further than did appellee in his testimony and somewhat further than the court did in its opinion below by assimilating to the book's appelation of Buckley as a "fellow traveler" all of the evils associated with the Nazis: "totalitarianism, sadism and violence, the burning of synagogues, the defacement of Jewish buildings, the 'use of the knife,' etc." Brief for Appellee at 3, 10-20. The facial absurdity of this construction is heightened by the fact that the book, while viewing present danger to America to be greatest from the right, attacks generally both the radical right *and left* wings of the political spectrum, and considers both fascism *and* communism to be totalitarian: *e.g.*, "Right now the fascist international is a rather pitiful affair contrasted with the mortal threat posed by the Communist international, but the 'grid' [for detecting totalitarian movements] does not require as much adjustment at this point as some suppose." Wild Tongues at 91-92. Another example of the book's inclusion of communism as a threat appears at 102: "Demagoguery is just as common in leftist extremism as in rightest circles. Among the most blatant illustrations are the use made of the Rosenberg case in France and other foreign countries and the sloganizing protests against

of questions. Another interpretation is, of course, the appellant's given at trial that what he was really attempting to say was that Buckley was a fellow traveler of the "radical right" or the "right wing." Both in Littell's colloquies with the court and within his own testimony he used the metaphor of Buckley's being a "rogue comet," one which did not follow a systematic pattern of astronomical behavior, but was "heavily in [the] magnetic orbit of the radical right." Buckley's and Littell's definitions of "fascism" clearly differed, as may be seen in their classifications of a variety of different organizations and periodicals.[5] The book itself appears at one point to equate the

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American policy in Southeast Asia in many European areas." These are not just passing references. The book condemns the SDS and the "new left" at 28-35.

Appellee's brief goes even further at 17 in quoting from Wild Tongues at 36 with emphasis added to suggest that the book refers to a fellow traveler as one whose "style is conspiracy and his stench is disloyalty"; in fact the phrase in context is referring to "the totalitarian demagogue," which is clearly meant to be a different figure from the fellow-traveling pseudo-conservative who is his "patsy." Again, the appellee's brief at 30 misquotes appellant's brief by saying "Indeed, as already noted, defendant formally admits in his brief that 'he did not believe that what he said was true as regards the allegation that Buckley was a fellow traveler of fascism.'" In fact, appellant's brief at 13, correctly quoted theretofore in appellee's brief in the note, at 4, says: "Littell testified that he did not believe Buckley was a fellow traveler of fascism and that he never intended to say that in Wild Tongues."

5 Buckley testified that he considered that H. L. Hunt's Life Line, Edgar Bundy's News and Views, Frank Capell's Herald of Freedom, the John Birch Society, and Billy James Hargis, among other journals, groups and figures, to be non-fascist, while Littell testified that he considered these three periodicals, plus the John Birch Society's Bulletin and Hargis's Churchmanship and Politics to be "openly fascist journals," as the phrase was used in Wild Tongues, note 1, *supra*. It should be noted that no listing of fascist journals was made in the book and that three of the seven "openly fascist journals" identified by Littell at trial were listed in Wild Tongues as periodicals of the "Radical Right." Appendix 2, at 134-35.

The only journal agreed upon by both Buckley and Littell to be "fascist" was The Cross and the Flag. In addition to giving a non-

"radical right" and "fascism," [6] and Buckley himself testified that Littell uses the term "radical right" in his speech and writings "pretty much indiscriminately with the word 'fascist.'" We know of no requirement that Littell is bound to appellee's own rather loose definitions of the terms, or to Buckley's differentiation between them.[7]

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fascist rating to some of Littell's fascist-rated materials, Buckley came up with some "fascist" rated journals which had not been so rated by Littell, namely, The Washington Observer, as "fascist in tone," and the Minutemen's On Target.

6 Wild Tongues, at 60, states:

> So too with the term "radical right," the phrase is preferred by some writers who do not want to apply the precise rubric, which is fascism. . . . "Fascism" is the term to apply to parallel movements and systems today, even though specialists note technical differences between Italian fascism, German Nazism, Spanish Falangism, and other radical right movements.

It should appear that this does not necessarily mean that for all purposes Littell equates the terms "radical right" and "fascism"; it is altogether possible that he meant that "some writers" use the lesser term "radical right" to refer to the greater evil, "fascism." In the exact context of the purported equation Littell points out, not without some historical justification, that "[t]he issue in the rise of Communist—and fascist-type parties is precisely that the ill-trained and half-formed baptized tend to be swept away, whereas genuine 'confessing Christians' would stand fast to the truth." *Id.* Viewed more charitably, as the Constitution requires, the overall passage may be interpreted to mean that the "radical right" may tend to be subsumed in "fascism" as a particular movement takes shape.

7 When Buckley was asked to define "fascist," he stated:

> My definition of fascist is a movement that developed in the 20th century around Mussolini, the purpose of which was to organize society with reference to certain corporate objectives, and in the course of doing so to subordinate the judiciary and the legislature to the executive and to repeal any human rights to the extent that they stand in the way of the rights of the corporate state.
>
> Q. Other [sic] have different definition of the term fascist; isn't that correct?
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> A. Well, if you are saying the people use terms incorrectly, the answer is yes.

We may note that this definition, however "correct" it may be, is significantly more narrow than the dictionary definition, the several

Beyond the ambiguity and looseness of the terms "fascist" and "radical right," the usage of the term "fellow traveler" in Wild Tongues, including the reference in the allegedly libelous passage, note 1, *supra*, is equally consistent with the appellant's interpretation of its connoting an innocent dupe as with the appellee's and the court's

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accepted variations of which include at least one meaning which is extremely broad.

> fascist . . . 1. *often cap:* the principles of the Fascisti; *also:* the movement or governmental regime embodying their principles. 2a: any program for setting up a centralized autocratic national regime with severely nationalistic policies, exercise regimentation of industry, commerce, and finance, rigid censorship, and forcible suppression of opposition. b: *any tendency toward* or actual exercise of severe autocratic or dictatorial control (as over others within an organization) . . . .

Webster's Third New International Dictionary at 825 (1971 ed.) (emphasis added).

When asked to define and describe "radical right" Buckley testified:

> The word radical right is mostly used in a pejorative context in an attempt to distinguish that part of the right which is uninhibited by any sense of decency, any sense of restraint, any requirement to acknowledge distinctions. It is used most generally in that sense.
>
> * * *
>
> "[R]adical right" as generally used is a term of opprobrium, seeking to characterize that part of the "right" which specializes in the nonmaking of distinctions in passionate and thoughtless and abusive *and often fascistic proposals.*

(Emphasis added.) It appears that in Buckley's view the "radical right" may be characterized as those who often embrace fascist proposals. The looseness of the terminology is further demonstrated by the court's question, later in the trial, " 'Radical right' isn't as well defined as fascist is it?" and Buckley's definition a few moments later: "It's [the 'radical right' is] *mostly* understood as a way to designate irresponsible, thoughtless, sometimes racist organizations of right wing agitators." (Emphasis added.) The term "radical right," this exchange suggests, is rather imprecise since it can be defined even by appellee only in the way it is "mostly understood" and in terms of such generalities as "irresponsible," "thoughtless" or "sometimes racist," or only circularly as consisting of "right wing agitators." We should suppose that more than one person uses the term "fascist" equally loosely and so as to overlap "radical right."

interpretation of a conscious political adherent.[8] Even the statement from the disputed passage, note 1, *supra,* that a fellow traveler "functions as a deceiver" is subject to the interpretation that the deception might be innocent and unintentional; one can "function as a deceiver" without doing so intentionally. The "fellow traveler," moreover, is said to refuse "to accept discipline and is therefore both used and despised" by party leaders and "appears at times to be independent," though when a major issue is at stake he follows the party line; again this is by no means to say that he intentionally follows the party line. It is true that in the paragraph preceding the reference to Mr. Buckley, there is a reference to Von Ribbentrop, the Nazi war criminal who had been a champagne salesman before he became first an ambassador and then foreign minister of the Nazis. While appellee's brief on appeal suggests that appellee was consequently likened to Von Rippentrop by direct analogy, even the district court opinion does not go so far. And to counter this to some extent, in the paragraph of Wild

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8 For example, Wild Tongues, at 36, defines a "pseudo-conservative" as "the 'patsy,' the fellow traveler to the fascists." The "pseudo-conservative" at this same point is said to follow "the lead of the demagogues, with a frenzy of unrestrained attacks on public policy and a minimal offering of constructive alternative proposals," *id.*, to praise "police power and [treat] the rights of ordinary citizens with contempt." *Id.* at 37. If a "fellow traveler" is a "patsy," it may be that he has been misled, but it does not mean that he is an intentional conspirator. (The Harper Dictionary of Contemporary Usage (1975) defines "patsy" as a person who is "easily cheated, imposed upon, or otherwise victimized." It comes from the Italian "pazzo" meaning "fool" or "insane person.")

The district court relied on Littell's definition of "fellow traveling" in Appendix 2, at 131, of Wild Tongues as "to follow a 'line' fixed by someone else in another place, while pretending to integrity—and independence of discussion and decision," in accepting appellee's contention that a "fellow traveler" means one who consciously adheres to fascist principles. That this general definition in the appendix is arguably directly contradicted by usage of the term in the context of explicit examples of "fellow traveling" in the text at 36 and in the passage in dispute at 50, is not mentioned by the district court.

Tongues next following, *see* note 1, *supra,* Buckley is said to be "probably not under the direct control of any subversive party."

It can be seen, the moment that we are involved in ascertaining what meaning Littell's statement purport to convey, that we are in the area of opinion as opposed to factual assertion. While Buckley said he himself had not used the word "fascism" in 15 years, he had, for example, referred once in a published reply to a review of God and Man at Yale by McGeorge Bundy by saying that the word "fascist" fitted "with unusual precision Mr. Bundy's advocacy of irresponsible, irreproachable education by an academic elite." While he took the occasion of the trial to repudiate that usage, it further pointed up the looseness of the term. He had used the word "totalitarianism" similarly loosely, he admitted, in the past; Buckley himself put it accurately by saying that "As you know, a totalitarian [sic] can under certain circumstances be used metaphorically." It is not without significance that the very title of his column dealing with Littell, "Who Are the Totalitarians?" (erroneously referred to on oral argument as "Who Are the Authoritarians"), implied in a way that Littell was a "totalitarian." Moreover, when asked if there existed other fascist journals in addition to that of the American Nazi Party, Buckley gave the word a varying content: "I would say that, depending on whether you vest in the word fascism the primary motivation of anti-Semitism then the answer is yes." He referred to the magazine Common Sense as "openly fascist insofar as it is clamorously anti-Semitic." Again, "I guess what I'm trying to say is that, depending on whom I was talking to, I would call it openly fascist or not, depending on the requirements of precision." *Compare* note 7, *supra.* In reply to a question of the court, Buckley added that the term "fascism" and the term "fellow

traveler" had acquired a "loose meaning" but in no case a nonpejorative meaning.[9] Buckley proffered with a somewhat greater measure of precision his definition of the spectrum of conservative thought as follows:

> [T]he right and the left join, that the schematic is more accurately pictured as a circle. But popularly we have the notion that at one end you have right totalitarianism, of which Hitler was clearly the most obvious historical example, at the other end you would have the left totalitarian, the Stalin, and as you work from one to the other you traverse, beginning from Stalin, let us say, on over to democratic socialism, democratic socialism on over to a sort of pragmatic liberalism and from pragmatic liberalism to a sort of a pragmatic conservatism, from a pragmatic conservatism to a princip[led] conservatism, from a princip[led] conservatism on over to a sort of a neo-despotism of the right, from there on over towards fascism, and from fascism on over towards naziism.

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9 Appellee himself was acutely aware of the element of opinion involved in such loose terminology. The following exchange occurred in cross-examination of him relative to his having called Littell an "abusive rhetorician," after he testified that he did so because "[t]o say . . . that [Edgar] Bundy [of the "Church League of America"] is part of the fascist underworld simply is abusive":

> Q. But that's just your opinion, correct?
>
> A. What do you mean my opinion?
>
> Q. That he was abusive, that Dr. Littell's letter is abusive.
>
> A. Is there an opposite to an opinion in something like this? Is my opinion as distinguished from my what?
>
> Q. That's just your opinion. You can answer yes or no.
>
> A. No, I can't. You are playing rhetorical games with me and I choose not to submit. Do you mean is there a Bureau of Labor of Standards or FDA on the basis of one can measure what is abusive?

Again, in another context Buckley allowed that "there is a certain democracy in language and usage tends to dictate its meaning."

Necessarily such a spectrum and the place of a given commentator who takes a position in it involves to a significant extent an area of opinion.[10]

Gertz v. *Robert Welch, Inc., supra,* 418 U.S. at 339-40, made the distinction—crucial to the issue—between "false statements of fact" which receive no constitutional protection, and "ideas" and "opinions" which by definition can never be "false" so as to constitute false statements which are unprotected when made with actual malice. *See* Restatement (Second) of Torts, *supra,* § 566, comment *c* at 8-9. The district court recognized that the "boundary line" between fact and opinion is not a precise one, and acknowledged that "to call someone a fascist fellow traveler is perhaps not as concrete a statement of fact as to say that someone committed a theft . . . ." 394 F. Supp. at 943. Nevertheless the court found that

> [W]hen Littell speaks of Buckley as a fellow traveler of fascism, indicating that he sympathizes with and promotes conspiracy and subversion . . . we are surely dealing with questions of fact, and not merely ideas.

We find, to the contrary, that the use of "fascist," "fellow traveler" and "radical right" as political labels in Wild Tongues cannot be regarded as having been proved to be statements of fact, among other reasons, because of the tremendous imprecision of the meaning and usage of these

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10 One interesting aspect of the trial was the district court's rather considerable reliance on the plaintiff's own definitions of such terms as "fellow traveler," "radical right," and "fascist." While the court of course recognized that it was accepting the definition as given from a particular point of view, it appears that in interpreting the allegedly libelous passage it did so to the exclusion of other definitions from a different point of view. And it did so without noting that even the comments of its single "expert," as the court in effect treated Buckley, indicated that the common understanding and usage of "fascist" and "radical right," let alone their meaning as interpreted from Wild Tongues, are matters of significant ambiguity.

terms in the realm of political debate, an imprecision which is simply echoed in the book.[11] This is not a case such as *Greenbelt Cooperative Publishing Ass'n* v. *Bresler, supra,* 398 U.S. at 22, 23, relied on by the district court, 394 F. Supp. at 925, 944, where a word is used which has only two precisely articulable meanings. There, for example, the word "blackmail" was found in context to refer to hard or unfair bargaining rather than to an allegation of the commission of a punishable crime. The concept "fellow traveler" of "fascism" by contrast is referable to a whole range of meanings and characteristics, *see* notes 6, 7 and 8, *supra.* The search for the precisely articulable meaning of the statements about Buckley to the ordinary reader could only be, in a sense, an arbitrary one because of the ambiguous and sometimes even contradictory content of the terminology necessarily utilized in Littell's polemical tract.[12] This is not a case where a person is being accused as being a member of the Communist Party, or a legislative representative of the Communist Party, as in the New York cases relied upon by the district court, 394 F. Supp. at 941, all of which incidentally predate *New York Times Co.* v.

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11 It may be that the fundamental error of the district court was accepting Buckley's own narrow and hence somewhat more precise definition of fascism as involving both anti-Semitism and the use of force to take control of the government. *Compare* 394 F. Supp. 929-30 *with id.* at 933 & n. 5. Doing so the court was apparently led into acceptance of the proposition that the term "fascist" is not susceptible to a looser usage "that [is] part of the conventional give-and-take in our economic and political controversies." *Cafeteria Employees Local 302* v. *Angelos,* 320 U.S. 293, 295 (1943), *quoted in National Association of Letter Carriers* v. *Austin,* 418 U.S. 264, 284 (1974). Judge Griesa in other words treated the alleged libel as if Buckley had been called a fellow traveler of the Fascisti, or the Nazis, or the Falangists, or a mythical Fascist Party of America, quite another case than the one we have.

12 One of the most troubling aspects of the trial was that proof of "malice" was almost solely by way of examination of Littell by Buckley's counsel, an examination which covered over 500 pages of transcript.

Sullivan, e.g., Toomey v. *Farley*, 2 N.Y.2d 71, 156 N.Y.S.2d 840, 138 N.E.2d 221 (1956); *Grant* v. *Readers Digest Ass'n, Inc.*, 151 F.2d 733 (2d Cir. 1945) (Learned Hand, *J.*), *cert. denied*, 326 U.S. 797 (1946). Such allegations of membership or well-defined political affiliation are readily perceivable as allegations of fact susceptible to proof or disproof of falsity. They are quite dissimilar to the terms "fellow traveler," "fascism" and "radical right" which, whether as used by Littell or as perceived by a reader, are concepts whose content is so debatable, loose and varying, that they are insusceptible to proof of truth or falsity. The use of these terms in the present context is in short within the realm of protected opinion and idea under *Gertz*.

We come then to the charge, as Judge Griesa puts it, that appellant's book "accuses Buckley of deliberately acting as a deceiver in the purveying of fascist material." 394 F. Supp. at 939. Appellant's book did not make such a direct statement in any form, but again, this is the district court's interpretation of the implication of the passage in note 1, *supra*.[13] The book did state that National Review

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13 Interestingly, paragraph 4 of Buckley's complaint purporting to define what was false and malicious about the passage, note 1, *supra*, made no claim of Buckley's being implicitly labeled a deliberate purveyor of fascist materials. Paragraph 4 reads as follows:

> Said words were false and malicious. By said false and malicious words defendants intend to charge that plaintiff was a Nazi fellow traveler, that he admired Hitler, that he believed in the extermination of the Jewish people, that he favored the suppression of free speech, that he favored the exercise of brutality by police officers and other philosophies advocated by and associated with the Nazi party in Germany, that his own writings are false and malicious and have been exposed as such, and in particular, that his book "God and Man at Yale" has been exposed and condemned by professors, overseers and loyal alumni of that University for said falsity and malice, that said alleged falsity and malice extends to the fabrication of alleged quotations in publications other than "God and Man at Yale" and that said falsity and malice have been employed by plaintiff as regards at least several people and that said false and malicious assertions by plaintiff constantly

and Buckley's newspaper column frequently print "news items" and interpretations "picked up from the openly fascist journals," and that they have been important and useful agencies for "radical right" attacks on honest liberals and conservatives. The judge evidently drew his interpretation from the prior definition of the fellow traveler functioning as a deceiver and Buckley's being said to be the outstanding representative of the "function"—whether the function of being a "fellow traveler" or a "deceiver" and whether a deliberate or unconscious deceiver being by no means clear, note 1, *supra*. It is one thing, however, to interpret the phrase about Buckley's representing a "function" as referring to the "fellow traveler" who "functions as a deceiver" in some way. But it is quite another thing to continue this interpolation into the later statement that Buckley prints items from "fascist journals" and to conclude that Buckley performs this act with the goal of deliberate deception. Upon this reasoning, every cited act of Buckley's could be read, despite lack of explicit connection, as an example of "deliberate deception," and such a reading is not only speculative, but is belied by the placement and grammar of the remarks in the passage.

The district court's interpolation of the libel of "deliberate deception in purveying fascist materials" is evidently the product of and dependent upon its construction of the earlier phrases "fellow traveler" and "functions as a deceiver," an interpretation we have analyzed and rejected as definitive in the context of this case, *see* text *supra* at n.8 & note 8. The district court noted Littell's denial on the stand of believing or meaning to say that Buckley was intentionally or deceptively purveying fascist material, and

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undermine respect for the leadership and institutions of the United States and that plaintiff is a homosexual.

Most of these allegations were properly dismissed by the district court.

it is clear from its opinion that the source of the interpolation was not disbelief of Littell on this point. Indeed, the court called Littell's belief an "honest" one that there were instances when the National Review and Buckley's columns reflected information and points of view similar to those in some journals alleged by Littell to be "openly fascist." 394 F. Supp. at 939. Rather, the court's construction came solely, apparently, from its reading of Wild Tongues. *Id.* Given our earlier conclusion that the textual evidence supports a conclusion which is the opposite of the district court's as readily as it supports the district court's conclusion, *see* note 8 *supra,* we cannot say that the appellee proved with "convincing clarity," *New York Times Co.* v. *Sullivan, supra,* 376 U.S. at 285-86, that the second allegedly libelous statement in question was made in the form articulated by the district court, irrespective of its truth or falsity.

We find further that there was nothing libelous in the statement as it appears in Wild Tongues that the National Review and "On the Right" frequently "print 'news items' and interpretations picked up from the openly fascist journals." The issue of what constitutes an "openly fascist" journal is as much a matter of opinion or idea as is the question what constitutes "fascism" or the "radical right" in Wild Tongues. *See* note 5, *supra.* Buckley himself admitted that he or the National Review had occasionally printed items and interpretations picked up from openly fascist journals even as he defined them, although "for the purpose of denouncing them." Surely the difference of opinion between Buckley and Littell regarding what is "approval" of what one calls "fascist" and the other calls "radical right" or "denunciation" of the same highly debatable categories cannot give rise to recovery by the one against the other in this case.

We have a different factual context, however, concerned in the third alleged libel. For here appellant's book says, "Like Westbrook Pegler, who lied day after day in his column about Quentin Reynolds and goaded him into a lawsuit, Buckley could be taken to court by any one of several people who had enough money to hire competent legal counsel and nothing else to do." This is an assertion of fact, namely that Buckley had lied about and implicitly libeled several people who, if they wanted to and could afford it, could take him to court for his lies. As opposed to the loosely definable, variously interpretable statements of opinion above referred to made inextricably in the context of political, social or philosophical debate, in this instance appellant's comment makes a factual assertion relating to Buckley's journalistic integrity. *See* Restatement (Second) of Torts, *supra* § 566 & Comment. Even while *Gertz* v. *Robert Welch, Inc., supra,* in effect states that an expression of "pure opinion" may not be the basis of an action for defamation, it also said, 418 U.S. at 401, that "there is no constitutional value in false statements of fact." Regardless of what other implicit but unelaborated comparisons Littell was attempting to draw between Buckley and Pegler, and regardless of whether he was attempting to say that Buckley lied about individuals precisely in the way that Pegler did, *see* note 2, *supra,* it seems to us that this third remark as it appears on its face states that Buckley was engaging in libelous journalism. Given the proof of falsity which was presented and not successfully rebutted, it is constitutionally as well as tortiously defamatory.

While Littell suggested that he intended in the passage only to criticize Buckley's "goading, hounding and excessive pursuit" of many people, particularly of certain church men and church women Littell had in mind, as well as of

Martin Luther King and Robert Kennedy, he also testified that he equated Buckley's frequent literary attacks as "falling within the general category of lying." Although he testified that he did not remember the specifics of the Pegler libels and did not mean to make a direct analogy between Pegler's libels and Buckley, what is critical is that Littell knew, as is evident from the passage itself, that Pegler's lies had been proved (by Reynolds) to be libels. Littell must have known that when he directly compared Buckley's statements with those of a proven libeler, the clear meaning to be inferred was that he considered Buckley to be a libeler like Pegler.

In response to Buckley's proof of the falsity of this accusation of libelous journalism, appellant's only rebutting proof of the truth of his charge was that Buckley had been sued in the past for libel; only one suit, however, had been successful, and that only by way of settlement.[14] As we read Judge Griesa's findings, he found that Littell's statement that Buckley engaged in libelous journalism was made with knowledge of its falsity or in reckless disregard of its truth or falsity, and this is a finding based in part upon credibility and demeanor which we cannot go behind. In this connection we emphasize that Littell's testimony clearly indicated that he could recall no instances of

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14 An action by Dr. Linus Pauling for having been called a Communist fellow traveler by Buckley was dismissed for failure to prove knowing falsity or reckless disregard for the truth under *New York Times Co.* v. *Sullivan*, 376 U.S. 254 (1964). *Pauling* v. *National Review, Inc.*, 49 Misc.2d 975, 269 N.Y.S.2d 11 (Sup. Ct. 1966), *aff'd*, 27 App. Div. 2d 903, 281 N.Y.S.2d 716 (1st Dep't 1967), *aff'd*, 22 N.Y.2d 818, 292 N.Y.S.2d 913, 239 N.E.2d 654 (1968). *See also Buckley* v. *Vidal*, 327 F. Supp. 1051 (S.D.N.Y. 1971). Buckley (or the National Review) did, however, pay the estate of a Mr. Harper about $13,000 in settlement of a libel action.

While appellant argues that he was referring to Buckley as a libeler in the loose, pre-*New York Times Co.* v. *Sullivan*, in other words the nonconstitutional, sense, we think this would be permitting him to have it both ways.

Buckley's lies about people as matters of fact, and that the lies he had in mind were not really "precise detailed lies" but rather lies on "a theoretical level" involving, as the record makes clear, Buckley's political opinions. Although he denied it, Littell also may have had in mind his own experience with Buckley and the latter's item, "Who Are the Totalitarians?", but that exchange hardly gave Littell license to attack Buckley's personal candor by the accusation of being a regular liar in print as to "several people." Furthermore, Littell's publisher was very much concerned about the paragraph in which the Pegler reference occurs, although not specifically about the sentence here found to be libelous; this concern should have been a red flag to Littell.

In short, whatever might be said of a person's political views, any journalist, commentator or analyst is entitled not to be lightly characterized as inaccurate and dishonest or libelous. We cannot disagree with the finding of the court below that it is "crucial" to such a person's career that he or she not be so treated. To call a journalist a libeler and to say that he is so in reference to a number of people is defamatory in the constitutional sense, even if said in the overall context of an attack otherwise directed at his political views.

We pass then to the issue of damages. There was no proof of special damages—Buckley's reputation apparently suffered not at all from this book, which sold 941 hardcover and 8,226 softcover copies before it was voluntarily withdrawn from distribution when this action was begun. Thus he is entitled in any event to no more than one dollar in compensatory damages as found by the district court. The question is whether he is entitled to any punitive damages and, if so, how much. Appellant would have us take the view that punitive damages are subject to a different con-

stitutional standard than compensatory damages, thereby abandoning our position in *Goldwater* v. *Ginzburg, supra,* 414 F.2d at 340-41. It may be that *Gertz* v. *Robert Welch, Inc., supra,* 418 U.S. at 350, and its underlying concern lest punitive damages be used "selectively to punish expressions of unpopular views" especially with "the wholly unpredictable amounts" that can be awarded will ultimately lead the Supreme Court to hold that punitive damages cannot constitutionally be awarded to a public figure. But to date the Court has not so held, stating in *Gertz* v. *Robert Welch, Inc., supra,* only that punitive damages cannot constitutionally be awarded to a plaintiff who has met a standard of proof less demanding than "actual malice." We recognize that this is a somewhat higher standard of proof than that enunciated by Mr. Justice Harlan for the plurality in *Curtis Publishing Co.* v. *Butts, supra,* and it may be that *Gertz* rejects the assertion in *Butts* that "punitive damages serve a wholly legitimate purpose in the protection of individual reputations." 388 U.S. at 161. But absent clear word from the Court to the contrary, or an en banc, we are bound to abide by our own controlling decision in *Goldwater, supra,* and therefore must permit such an award in the appropriate case.

We agree, however, with the appellant that the award is excessive, particularly in the light of our reversal of the judgment in its two more important aspects. It does not appear, moreover, that the trial judge investigated Littell's own financial situation, other than to note his occupation, to determine the extent to which the damages awarded would be an effective deterrent, but we gather from the record that Littell either could not afford or did not want to lawyer to defend him at trial and appeared pro se. He has been a man of the cloth and a professor of religion and hence subject to such a person's notoriously low salary. He

received only $500 from the book. Buckley has recovered something, we know not what, from the publisher by way of settlement. Although ordinarily we would remand the issue of damages for consideration of the appropriate amount of reduction, in the interests of justice we will exercise our authority to reduce the award ourselves to the more reasonable figure of $1,000 punitive damages. *See* 6A J. Moore, Federal Practice ¶ 59.05[3] at 59-68 (2d ed. 1973); *see also Carroll* v. *United States*, 133 F.2d 690 (2d Cir. 1943); 1 F. Harper & F. James, The Law of Torts § 5.30, at 472-73 (1956); 11 C. Wright & A. Miller, Federal Practice and Procedure § 2820, at 127 & n. 75 (1973); *cf. Staplin* v. *Maritime Overseas Corp.*, 519 F.2d 969, 974 (2d Cir. 1975).

Judgment affirmed in part and reversed in part in accordance with this opinion.

JUDGMENT

UNITED STATES COURT OF APPEALS
FOR THE SECOND CIRCUIT

At a stated Term of the United States Court of Appeals for the Second Circuit, held at the United States Courthouse in the City of New York, on the thirtieth day of June one thousand nine hundred and seventy-six.

Present: HON. IRVING R. KAUFMAN, CHIEF JUDGE
HON. JAMES L. OAKES
HON. MURRAY I. GURFEIN, C.JJ.

WILLIAM F. BUCKLEY, JR.,

Plaintiff-Appellee

v.

MACMILLAN CO. and FRANKLIN H. LITTELL,

Defendants

FRANKLIN H. LITTELL,

Defendant-Appellant.

Appeal from the United States District Court of the Southern District of New York.

This cause came on to be heard on the transcript of record from the United States District Court for the Southern District of New York, and was argued by counsel.

ON CONSIDERATION WHEREOF, it is now hereby ordered, adjudged, and decreed that the judgment of said District Court be and it hereby is affirmed in part and reversed in part in accordance with the opinion of this court.

A. DANIEL FUSARO,
Clerk

By: Vincent A. Carlin
Chief Deputy Clerk

DENIAL OF PETITION FOR REHEARING

UNITED STATES COURT OF APPEALS
SECOND CIRCUIT

At a Stated Term of the United States Court of Appeals, in and for the Second Circuit, held at the United States Court House of New York, on the Thirteenth day of August, one thousand nine hundred and seventy-six.

Present: HON. IRVING R. KAUFMAN, CHIEF JUDGE
HON. JAMES L. OAKES
HON. MURRAY I. GURFEIN, C.JJ.

WILLIAM F. BUCKLEY, JR.,
Plaintiff-Appellee

v.

MACMILLAN CO. and FRANKLIN H. LITTELL,
Defendants,

FRANKLIN H. LITTELL,
Defendant-Appellant.

A petition for a rehearing having been filed herein by counsel for the plaintiff-appellee,

Upon consideration thereof, it is

Ordered that said petition be and hereby is denied.

A. DANIEL FUSARO
Clerk

(Illegible)
Senior Deputy Clerk

DENIAL OF PETITION FOR REHEARING IN BANC

UNITED STATES COURT OF APPEALS
SECOND CIRCUIT

At a stated term of the United States Court of Appeals, in and for the Second Circuit, held at the United States Court House, in the City of New York, on the thirteenth day of August, one thousand nine hundred and seventy-six.

WILLIAM F. BUCKLEY, JR.,
Plaintiff-Appellee,

v.

MACMILLAN CO. and FRANKLIN H. LITTELL,
Defendants,

FRANKLIN H. LITTELL,
Defendant-Appellant.

A petition for rehearing containing a suggestion that the action be reheard in banc having been filed herein by counsel for the plaintiff-appellee, and no active judge or judge who was a member of the panel having requested that a vote be taken on said suggestion,

Upon consideration thereof, it is

Ordered that said petition be and it hereby is DENIED.

/s/ IRVING R. KAUFMAN
Chief Judge

OPINION

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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76 Civ. 2844

May 23, 1975

WILLIAM F. BUCKLEY,

Plaintiff,

v.

FRANKLIN H. LITTELL,

Defendant.

GRIESA, J.

This is a defamation action, commenced on July 1, 1970 by William F. Buckley, Jr., seeking damages for an alleged libel contained in a book entitled Wild Tongues. The defendants originally sued were the author of the book, Dr. Franklin H. Littell, and the book's publisher, The Macmillan Company.

The case was tried by the court without a jury. During the trial, Buckley and

Macmillan reached a settlement and Macmillan was dismissed from the case.

Littell has appeared pro se in this litigation. However, it should be noted that following the disposition of the claim against Macmillan, the attorney who had been acting for Macmillan, David Blasband, Esq., continued in an amicus curiae capacity, and assisted in briefing and arguing the position of Littell. For this, the court wishes to express its appreciation.

This decision constitutes the court's findings of fact and conclusions of law with respect to Buckley's claims against Littell. For the reasons which will be hereafter explained, I have concluded that the writing in question is libelous and that Buckley is entitled to judgment. I further hold that the First Amendment protection enunciated in New York Times Co. v. Sullivan, 376 U.S. 254 (1964), and subsequent Supreme Court decisions, does not bar recovery by Buckley under the circumstances of this case.

The Alleged Libelous Passage

The book Wild Tongues was published by Macmillan on October 6, 1969. It was voluntarily withdrawn from distribution by Macmillan in July 1970, when the present action was commenced. Prior to this time the book sold 941 hard cover copies and 8,226 paperback copies.

The alleged libelous passage occurs at page 50-51 of Wild Tongues, in which Littell characterizes Buckley as "the outstanding representative" of the function of "fellow traveler" with respect to fascism in the United States. This passage is contained in a subchapter entitled "The Fellow Traveler," which reads as follows (pp. 50-52):

> "Whisking about the edges of any totalitarian movement is the 'fellow traveler' pirouetting into the whirlpool and out again as the vortex draws more powerfully and then recedes. His role is as dangerous to social health and as important to building up totalitarian parties as the equally ambiguous figure of the pseudo-conservative. The fellow traveler to the Communists or fascists is a fascinating psychological study: fascination with brute force and its misuse plays an important role. Students of communism have commented at length upon the party member's 'psychology of the pawn' -- his need to be misused and abused, to the destruction of his own personhood. The fellow traveler's responses are essentially feminine, registering the ambivalence of love and hate toward the master and mover.
>
> "The fellow traveler refuses to accept discipline and is therefore both used and despised by the party leaders. At the same time, he is dangerous to

political movements and republican institutions of integrity, because he functions as a deceiver. He appears at times to be independent, but, when a major issue is at stake, he follows the party line.

"Perhaps the most famous type in recent years was Von Ribbentrop, pseudo-intellectual and champagne salesman, who was of great use to the Nazi government in giving an aura of respectability to international policies which have been recognized readily for what they were: simple thuggery.

"In America, the outstanding representative of this function is William F. Buckley, Jr., editor of The National Review and perennial political candidate. Buckley got his start as a smart young 'intellectual' by writing a book, God and Man at Yale, upon graduating from his alma mater. The book has been soundly exposed and condemned by professors and overseers and loyal alumni for falsely twisting facts for sheer malice. The National Review and his syndicated newspaper column, 'On the Right,' frequently print 'news items' and interpretations picked up from the openly fascist journals and have been important and useful agencies for radical right attacks on honest liberals and conservatives.

"Buckley has been caught out for misquotations (with quotation marks!) and for repeating radical right malice and rumor, but he never admits a mistake or apologizes to the victims. Like Westbrook Pegler, who lied day after day in his column about Quentin Reynolds and goaded him into a lawsuit, Buckley could be taken to court by any one of several people who had enough money to hire competent legal counsel and nothing else to do. Reynolds won his suit, of course, but it took all of his time and resources for most of three years, and he died shortly thereafter.1/

"As his lack of respect for the rules of the dialogue and his constant

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1/ The alleged libelous passage, as referred to in the complaint, included only these first five paragraphs of "The Fellow Traveler." At the trial of the action Buckley sought to amend his complaint to include the remaining two paragraphs of the subchapter. Although the amendment was denied as belated, it became clear during the trial that these remaining paragraphs of the subchapter, like other portions of the book, must be considered in order to put the alleged libelous passage in context. Therefore, these paragraphs are quoted here.

undermining of respect for American leadership and institutions reveal, Buckley is not a 'conservative' at all. The streak of ideological taint and moral nihilism is too pronounced, even though he is probably not under the direct control of any subversive party. When he publicly criticized Robert Welch of the John Birch Society, Buckley did not do it because Welch led a fascist-type conspiracy and used immoral tactics to undermine the constitutional order. Buckley said Welch was too extreme to be successful. When Dr. Martin Luther King, Jr. was assassinated, Buckley wrote in his column a tortuously reasoned explanation that the murderer represented the error of an independent conscience, which was Dr. King's error too.

"'Truth,' asked Pilate, 'What is truth?'"

Description of the Parties

a. William F. Buckley, Jr.

For many years Buckley has been the owner and editor-in-chief of National Review, a well-known fortnightly journal founded in 1955. In 1968-69, at the time of the events involved in this lawsuit National Review had a circulation of about

100,000 copies per issue. Its current circulation is about 110,000 copies per issue. Since 1964 Buckley has been the author of a syndicated newspaper column entitled On the Right, appearing three times a week. In 1968-69 the column was carried by about 250 newspapers. Today it is carried by 350 papers throughout the country. Buckley hosts a weekly television show entitled Firing Line, which was carried by about 75 commercial television stations in 1969. Since then his program has been transferred to the Public Broadcasting Service network, and is now carried on more than 200 television stations in this network. The television program receives financing from a Ford Foundation grant.

The evidence in this case indicates that in 1968-69 Buckley's column On the Right was the third most widely sold column of political commentary in the country--ranking only behind the columns of Jack Anderson and David Lawrence. Today, following the death of David Lawrence, the Buckley column is second only to the Jack Anderson column.

Buckley is the author of ten books dealing mainly with political subjects. He has edited four other books, and has contributed materials for still other books and also for various magazines.

Buckley delivers between 50 and 60

lectures a year, mainly on political and educational matters.

Buckley is chairman of the board and part owner of The Starr Broadcasting Group, Inc., which owns radio and television stations as well as a book publishing company.

In 1965 Buckley was the unsuccessful Conservative Party candidate for Mayor of New York City. From 1969 to 1972 Buckley served on the five-member Advisory Committee on Information of the United States Information Agency. In 1973 Buckley was appointed as public member of the United States delegation to the 28th General Assembly of the United Nations.

It is stipulated that Buckley is a "public figure" as that term is defined in the cases dealing with First Amendment restrictions on libel actions. See Curtis Publishing Company v. Butts, 388 U.S. 130 (1967).

b. Franklin H. Littell

Littell is, and at the time he wrote Wild Tongues was, a professor in the Department of Religion at Temple University in Philadelphia, Pennsylvania. Following college and seminary training, Littell was ordained a Methodist minister in 1941. Littell obtained a Ph.D. degree in church history from Yale University in 1946.

In 1950-51 Littell was chief Protestant advisor to High Commissioner McCloy in Germany. Littell has held professorships at Emory University, Southern Methodist University and Chicago Theological Seminary. From 1966-69 Littell served as president of Iowa Wesleyan College, after which he took his present position at Temple University.

Prior to the trial of this action, Littell had written twelve books about subjects such as religious liberty and struggles between church and state. Two more books were in progress.

In 1966 Littell became the chairman of a new organization known as the Institute for American Democracy ("IAD"). The avowed purpose of the organization was to combat political groups and individuals associated with what were regarded as the extreme right and left of the American political spectrum. IAD published a periodical entitled "Homefront."

Buckley-Littell Dispute Prior to Publication of Wild Tongues

Following the formation of IAD under Littell's chairmanship, IAD was the subject of critical comment from various sources, including Buckley's National Review in its issue of January 10, 1967.

On August 15, 1967 National Review carried a brief report regarding a speech which Littell was said to have made to the National Education Association. According to National Review, Littell had attacked "right-wingers" as being disloyal, and had stated that such persons needed to be "muted and rendered ineffective."

The August 15, 1967 National Review item led to an exchange of correspondence between Littell and Buckley, in which Littell accused Buckley of misrepresenting him and his views in various ways -- principally in failing to point out that Littell was attacking extremists of both the left and the right.2/ Buckley rejected the charges. In addition, Buckley devoted one of his syndicated columns On the Right in February 1968 to a sharp criticism of IAD and Littell, whom Buckley termed "an abusive rhetorician." The title of this article was "Who Are the Totalitarians?" Finally, Buckley wrote an item for the March 12, 1968 National Review which quoted

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2/ The August 15, 1967 National Review item was based, not upon the actual text of Littell's speech, but upon an article by a newspaper reporter named Gaskie. In addition, it appears that Littell never actually delivered the speech, although the text may have been read on his behalf at the NEA.

excerpts from the recent exchange of letters, and which again spoke critically of the IAD and Littell.[3]

The Writing of Wild Tongues

Littell began work on Wild Tongues in the fall of 1968 and turned over his manuscript to Macmillan in March 1969.

Most of the book was apparently prepared by putting together various papers which Littell had written for seminars or other purposes. However, the section on Buckley was something which Littell wrote afresh.

Following the submission of Littell's manuscript to Macmillan, the editors in charge of the project at Macmillan directed that the book be submitted to counsel for review regarding possible libel problems. It appears that the only portion of the passage regarding Buckley which Macmillan's attorney questioned was a sentence which, in the manuscript, read as follows:

3/ Prior to the time Littell wrote Wild Tongues, he had seen the National Review item of August 15, 1967 and the On the Right column of February 1968. However, he had not seen the March 12, 1968 National Review item.

> "Buckley has been repeatedly caught out for deliberate misquotations (with quotation marks!) and for repeating radical right malice and slander, but he never admits a mistake or apologizes to the victims."

Macmillan's attorney requested documentation to support the statement that Buckley had knowingly published misquotations and repeated malicious and false statements. In response to this request, Littell's secretary sent Macmillan a copy of Buckley's On the Right column "Who Are the Totalitarians?" and some of the correspondence between Buckley and Littell which occurred in early 1968. This documentation was deemed by Macmillan's attorney to be insufficient. However, the book was on a rush schedule to obtain maximum sales to a large Methodist women's organization. The question of Littell's reference to Buckley was resolved by a change in wording. Macmillan requested that the sentence described above, as originally proposed by Littell, be changed to remove the words "repeatedly" and deliberately" and to substitute "rumor" for the word "slander." No other changes were requested of Littell in the passage regarding Buckley. The Macmillan editors and Macmillan's counsel indicated to Littell their acquiescence in the following passage as revised:

"Buckley has been caught out for misquotations (with quotation marks!) and for repeating radical right malice and rumor, but he never admits a mistake or apologizes to the victims."

Interpretation of Passage Regarding Buckley

Wild Tongues is 184 pages long. It is subtitled, "A Handbook of Social Pathology." One of the main purposes of the book, as Littell describes it, was to inform members of the various Christian denominations in the United States regarding what Littell considered to be the threat to the churches posed by extremist political groups. Littell and Macmillan had received indications that the book might be used in study programs of the Women's Division of the United Methodist Church. The Women's Division numbers about 1,000,000 members. The actual sales of the book did not meet expectations.

Although Wild Tongues addresses itself to both the extreme left and the extreme right, it is fair to say that attention is mainly focused on the right wing. One of the principal themes of the book is that there is more actual and incipient fascism in America than most persons have any awareness of. A substantial part of the

book is devoted to listing and describing certain characteristics which are said to be the earmarks of totalitarian organizations and philosophies, both of the right and the left. Littell's message is that if a group or organization has a majority of these characteristics, it should be recognized as being an incipient totalitarian movement. Littell urges that such movements are essentially conspiracies against the American government and should be outlawed.

There has been a certain amount of debate in this action as to what the alleged libelous passage regarding Buckley actually means.

Buckley contends that the book labels him as a fellow traveler of totalitarian fascism, and accuses him of misusing his prestige as a journalist to deceive the public by purveying fascist views under the guise of responsible conservatism. Buckley contends that the book further accuses him of engaging in libelous journalism of the kind authored by Westbrook Pegler against Quentin Reynolds resulting in a libel judgment against Pegler in the noted case of Reynolds v. Pegler, 223 F.2d 429 (2d Cir.), cert. denied, 350 U.S. 846 (1955).

Littell asserts that Buckley exaggerates the import of the alleged libelous passage. First, Littell denies that the

book accuses Buckley of being a fellow traveler of fascism. Littell argues that the book, properly interpreted, goes no farther than associating Buckley with the "radical right" -- a political grouping discernibly different from fascism. Second, Littell denies that the book charges Buckley with intentionally using his journalistic position as a cover for fascist propaganda. Littell urges that the book only asserts that there is a frequent coincidence of views of Buckley and the radical right, which inevitably results in Buckley giving aid and comfort to radical right groups. Thirdly, with regard to the comparison between Buckley and Westbrook Pegler, Littell states that he did not intend to accuse Buckley literally of the same kind of conduct engaged in by Westbrook Pegler.

The rule of interpretation in a libel case is that words alleged to be defamatory are to be taken in their natural meaning. Courts will not strain to interpret them in their mildest and most inoffensive sense. Mencher v. Chesley, 297 N.Y. 94, 99 (1947). It is necessary to make a judgment based upon the alleged libelous material as a whole, rather than on the basis of isolated or detached individual sentences or statements. November v. Time, Inc., 13 N.Y.2d 175, 178 (1963). The test of whether a passage is libelous or not is what the overall effect would be upon an

ordinary reader. Everett v. Gross, 22 A.D. 2d 257, 254 N.Y.S.2d 561, 563 (1st Dept. 1964).

If we view the alleged libelous passage in Wild Tongues according to these rules, the proper interpretation is not difficult to arrive at. Buckley's interpretation of the book is clearly the correct one. Littell's attempt to suggest less harmful meanings to the various statements is, in my view, totally unrealistic. In this connection, a statement of Justice White in his concurring opinion in Greenbelt Cooperative Publishing Assn. v. Bresler, 398 U.S. 6, 22-23 (1970) is apposite. The discussion is in the context of the question of First Amendment limitations on libel actions.

> "Should New York Times Co. v. Sullivan, 376 U.S. 254 (1964), be extended to preclude liability for injury to reputation caused by employing words of double meaning, one of which is libelous, whenever the publisher claims in good faith to have intended the innocent meaning? I think not.
>
> * * *
>
> I see no reason why the members of a skilled calling should not be held to a standard of their craft and assume the risk of being misunderstood -- if they are -- by the ordinary reader of their publications."

In order to explain my conclusion as to the meaning of Wild Tongues with respect to Buckley, it is necessary to describe the book in some detail. In the alleged libelous passage, Buckley is charged with being the outstanding representative in America of the function of the fellow traveler, and it is clear that Buckley is being charged with being a fellow traveler of the fascists. Buckley is accused of playing a role dangerous to social health and important to building up totalitarian movements. Buckley is described as having certain characteristics said to be common to fellow travelers of totalitarianism -- such as fascination with brute force and its misuse, a psychological need to be misused and abused, and "essentially feminine" responses. Buckley, being a fellow traveler, refuses to accept party discipline, but is nevertheless dangerous to political movements and republican institutions of integrity because he functions as a deceiver.

The alleged libelous passage contains a paragraph about the Nazi Von Ribbentrop. There is a statement to the effect that the Nazis attempted to use Von Ribbentrop to put up a "debonair front" and to give the Nazi movement an aura of respectability.

Buckley's name appears immediately following the discussion of Von Ribbentrop. It is said, among other things, that

Buckley's magazine National Review and his column On the Right are purveyors of materials "from the openly fascist journals." Buckley's magazine and column are described as "useful agencies" for radical right attacks on honest liberals and conservatives, and it is said that Buckley has been "caught out" for repeating radical right malice and rumor. The passage states that Buckley "could be taken to court by any one of several people" who could afford to do so, and Buckley is compared with Westbrook Pegler "who lied day after day in his column about Quentin Reynolds," resulting in the lawsuit, which Reynolds won.

In the remainder of the "Fellow Traveler" passage, it is said that Buckley constantly undermines respect for American leadership and institutions, and that he is "probably not under the direct control of any subversive party," the clear implication being, as expressly stated in the earlier part of the "Fellow Traveler" passage, that Buckley follows "the line" of subversive parties. Although it is noted that Buckley publicly criticized Robert Welch of the John Birch Society, the reader is led to believe that Buckley has no quarrel with the John Birch Society's "fascist-type conspiracy" and use of "immoral tactics to undermine the constitutional order."

A reader of Wild Tongues would obviously

not read the "Fellow Traveler" section in isolation. The meaning of this section to him would be colored by what is said in the rest of the book about such subjects as totalitarianism, fascism and the role of the fellow traveler. These other references serve to emphasize the depth of the evil with which Buckley is being charged. They also show what is said about Buckley in the "Fellow Traveler" section is not merely a string of loose epithets. Indeed the book undertakes to make a kind of scientific definition of fascism in America, and to fix Buckley and others in their relation to such fascism.

The dust jacket of Wild Tongues describes the book as follows:

> "WILD TONGUES, a perceptive and trenchant analysis of extremism, provides an authoritative guide to an understanding of the political and religious threats posed by both far right and left. In this pioneering work in establishing a typology of pathological movements and parties, Franklin H. Littell both defines the enemies of liberty and self-government and shows how democratic processes can be strengthened against them. He spells out, in specific terms, what the extremist groups mean to American life and supplies a checklist by which the pathological organization can be recognized."

Wild Tongues starts out by referring to the 1968 presidential campaign of George Wallace. It is said that this campaign was managed by two prominent "fascist type conspiracies" -- the White Citizens' Councils and the John Birch Society. The book states that Wallace managed to collect about himself "every one of the organizations devoted to a rightest coup -- from the paramilitary Minutemen to the night riders of the Ku Klux Klan" (p. 2).

Wild Tongues speaks of the churches in America as being "under savage attack from the fascist side" (p. 12). Littell quotes a letter from a minister describing the use of various pressure tactics in an attempted takeover of a church by the John Birch Society (pp. 12-14). Shortly before the "Fellow Traveler" section, there is a subchapter entitled "Thunder on the Right" which announces that "the most dangerous internal challenge to America comes from the fascist wing" (p. 35). This subchapter contrasts the "genuine conservatives" with the fascists and their fellow travelers, also labeled as "pseudo-conservatives." There is the following passage (p. 36):

> "The pseudo-conservative follows the lead of the demagogues, with a frenzy of unrestrained attacks on public policy and a minimal offering of constructive alternative proposals. Regarding the public debate as meaningless, the totalitarian demagogue

> is only present to evangelize his own ideology -- never to learn. Tearing and rending public confidence in the Constitution (and Bill of Rights!) where he can, his style is conspiracy, and his stench is disloyalty. The pseudo-conservative is the 'patsy,' the fellow traveler to the fascists; the genuine conservative is their mortal enemy."

Subsequently, there is a reference to persons (in general) who "are carefully laying the basis for a coup d'etat in their fascist-type literature (p. 43).

The organization most frequently cited as being in the totalitarian fascist camp is the John Birch Society, which is said to be "a disciplined party of pseudo-conservatives and fascists" (p. 37), and to show "striking parallels to the Nazi party" (p. 45).

Two of the four chapters of the book are devoted to the description of the identifying marks of extremist groups. Chapter II is entitled "How to Identify Totalitarian Movements" (pp. 59-92). This chapter starts out with the statement:

> "...but the malaise with which we are dealing is specific, objectively definable. That problem is the rise of disciplined conspiratorial movements whose goal is power and whose style of

> politics is contrary to the rule of liberty under law which republican constitutions and due process of law are designed to achieve."

Littell apparently believed it important that the extremist right-wing groups he was denouncing be labeled as "fascist" in order to prevent any misunderstanding as to their true nature. Thus the following passage appears (p. 60):

> "So too with the term 'radical right': the phrase is preferred by some writers who do not want to apply the precise rubric, which is fascism. 'Fascism' was the term chosen by the oldest movements of right-wing take-over, when Mussolini and his thugs betrayed the legitimate government of Italy in 1922, terrorized and destroyed all dissenting groups, and established the model followed with adaptations by Hitler, Franco, Peron, and a host of subsequent lesser lights. 'Fascism' is the term to apply to parallel movements and systems today, even though specialists note technical differences between Italian fascism, German Nazism, Spanish Falangism, and other radical right movements."

The book goes on to state that, by means of Littell's analytical method, "totalitarian conspiracies and actions

can be defined by law as precisely as murder, arson and rape and . . . such definition is overdue" (p. 71). The book states that by this method it is possible to identify "organizations and parties and systems which have opted out of the life of dialogue, which are not in good faith in public meetings, and have in fact drawn the knife against all who stand in the way of their drive for power" (pp. 64-65). On the subject of fellow traveling, the book has this to say (p. 68):

> "On the practical side, it is now perfectly possible to distinguish honest liberalism from fellow-traveling with the Communists, and honest conservatism from fellow-traveling with fascist-type movements. The failure to make such distinction, scientifically as possible as the distinction between measles and scarlet fever, is the major plague afflicting both the Republican and Democratic parties in the United States."

Littell describes fifteen "theoretical" identifying marks of totalitarianism (pp. 72-91) and sixteen "practical" characteristics of totalitarianism (pp. 95-111). I will only mention a few of these as illustrations of what Buckley is connected with when he is labeled as a fellow traveler of the fascist brand of totalitarianism.

Littell starts each list with anti-Semitism (pp. 72, 95). Under the heading "The Politics of Polarization," Littell states that totalitarians are "destructive of the rules of the dialogue and of the constitutional order itself," and intend "not orderly change but transfer of power by reversion to the law of the knife" (pp. 74-75). Under the heading of "The Cult of Violence" there is the statement that "the praise of violence toward the opposition is fundamental," and there is a description of the alleged attempts by the John Birch Society and its "fronts" to support lawless and brutal action by police -- particularly against civil rights demonstrators. In this same section of the book, there is also a description of the use of the "big lie" against "faithful stewards of governmental office who resist totalitarian measures and movements." It is further stated (p. 82):

> "The Dial-a-Lie telephone program of the John Birch Society, as well as the over 10,000 weekly radio programs of the agents of the radical right, are forms of sadism and barely subdued violence."

It is said that "amateur sleuths" are developed and that "both Communist and fascist organizations keep files on enemy persons -- using the data for character assassination now and liquidation later" (p. 83). It is further stated that "amateur

agents" have created "a new style of treason in our time," specializing in the "fifth column," which destroys constitutional order and trust, and which develops "large networks of espionage, subversion and conspiracy" (p. 83). In this connection, the book refers to an organization known as the Church League of America, and states that this organization boasts that its files contain the largest volume of negative information on individuals outside the FBI (p. 83).

The book states that in totalitarian politics, "Symbols of sadism and violence are approved" (p. 108). It is said that "vulgar and vicious forms of sedition are encouraged by disloyal public officials, with unpunished arson and murder the results" (p. 108). The book asserts that totalitarian supporters revert to primitive "justice" in the form of mob demonstrations, mob violence, and the takeover of legitimate organizations by various pressure tactics (pp. 108-9).

The ultimate recommendation is that totalitarian groups in America be exposed as conspiratorial and subversive and be outlawed (p. 113).

In Appendix 2 at the end of the book there is the following definition of "fellow traveler" (p. 131):

> "'Fellow-traveling' is to follow a 'line' fixed by someone else in another place, while pretending to integrity -- and independence of discussion and decision."4/

In Appendix 2 Littell lists a number of "Extremist Newspapers and Magazines." None are classified under the specific heading of "fascist." But a number of publications are listed under the headings of "Racist" and "Radical Right." Some of these are The Cross and the Flag, published by the Christian Nationalist Crusade; American Opinion, published by the John Birch Society; Christian Crusade, published by Billy James Hargis; the Dan Smoot Report; and Life Line published by the late H. L. Hunt. It should be noted that Buckley's

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4/ Littell points to the fact that on the next page there is a reference to a type of persons called "innocents" -- persons who are said to have been "taken in" by extremist groups and to have been used unwittingly. The idea is that fellow travelers can include not only those who intentionally deceive, but also those who are innocently used as messengers for extremist causes. However, Littell in his testimony admitted that he did not make clear in his book that fellow travelers include "innocents." He would do so, if he issued a revised edition.

magazine National Review is not included in any of the listed publications in Appendix 2.

In connection with the meaning of the alleged libelous passage relating to Buckley, attention should be given to the comparison that is drawn between Buckley and Westbrook Pegler. The statement is (p. 51):

> "Like Westbrook Pegler, who lied day after day in his column about Quentin Reynolds and goaded him into a lawsuit, Buckley could be taken to court by any one of several people who had enough money to hire competent legal counsel and nothing else to do. Reynolds won his suit, of course, but it took all of his time and resources for most of three years, and he died shortly thereafter."

The libel action of Quentin Reynolds against Westbrook Pegler was a case of unusual notoriety. It was described in detail in Louis Nizer's best-selling book My Life in Court, first published in 1963 and reprinted thereafter. Although Littell does not recall reading the Nizer book, he recalls reading a description of the case in another book.

Pegler made a scurrilous personal attack upon Reynolds, accusing him of cowardice as a war correspondent, of having shared the profits of fraudulent military

contractors, of having proposed to the widow of Heywood Broun at her husband's funeral, of engaging in improper sexual practices, and so forth.

Reynolds won his libel action against Pegler, and certain newspaper publishers. Reynolds v. Pegler, 223 F.2d 429, 431 (2d Cir. 1955).

Summary of Interpretation

The alleged libelous passage regarding Buckley, taken in the context of other relevant portions of Wild Tongues, makes accusations against Buckley of a profoundly serious nature. It not only labels him as the outstanding representative in this country of the function of fascist fellow traveler, but it spells out in considerable detail the specific evils with which such a fellow traveler is associated. These include disloyalty to the government of this country, advocacy of conspiratorial overthrow of the government, devotion to violence and disruptive tactics for the subversion of our institutions, including churches.

Littell appears to argue that, even though Buckley is labeled as a fellow traveler of the extremist movements being denounced, it does not follow that the book connects Buckley with each and every evil

described in the book. But the evils I refer to here are emphasized repeatedly through the book as being the basic identifying characteristics of fascism. I believe it is inevitable that a reader of the book would take it to mean that Buckley -- as the alleged outstanding fellow traveler of fascism in America -- subscribes to these basic evil tenets.

In addition, the book would convey to an ordinary reader the message that Buckley is deliberately using his prominent journalistic position to convey fascist views under the guise of responsible conservatism. This is the inevitable effect of the passages charging that a fellow traveler functions "as a deceiver" (p. 50), "while pretending to integrity" (p. 131). Finally, Buckley is accused of engaging in the type of libelous journalism practiced by Westbrook Pegler against Quentin Reynolds.

Buckley's Evidence Regarding Alleged Libel

Buckley testified at the trial that his career has been mainly devoted to making a case for a conservative dissenting point of view on social and political issues. He favors the private over the public sector in the economy. He views the communist threat from abroad as the principal danger to world peace.

Buckley testified as to the meaning of "fascism" and "radical right." The characteristics of fascism, in its full development are: Absolute power of the state apparatus and subordination of the judicial and legislative branches to the executive; suppression of the electoral process and dissenting parties; unrestricted use of the military and police power in disregard of individual civil rights; use of terror and brute force as weapons of suppression; anti-Semitism or other forms of racism; absence of any meaningful political dialogue.

In his testimony at the trial, Buckley gave the Ku Klux Klan as an example of a fascist-type organization in America, because it favors terrorism and repudiates individual rights. Buckley also characterized the group known as "Minutemen" as fascistic, since they purported to be arming themselves, although this group clearly has no actual program for taking over the country.

Buckley testified that the term "fascist" has a different meaning from "radical right."

Buckley defined the radical right as a congeries of highly dissatisfied Americans, with irresponsible views, but lacking in the intent to use brute force to achieve their ends. Buckley would classify persons such as Carl McIntire, Billy James Hargis, and the late H. L. Hunt as radical rightists,

but not as fascists. Buckley would similarly classify the John Birch Society.

Buckley testified that he has been intent upon maintaining a distinction between himself as a "responsible conservative" and persons associated with the fascist or "irresponsible" right. According to Buckley this distinction is a crucial one for his career.

At the trial, Buckley testified about his condemnation of the John Birch Society as illustrative of this distinction. Apparently, in the early 1960's after the John Birch Society first surfaced, Buckley was highly critical of the society's leader, Robert Welch, because of his thesis that President Eisenhower and other American leaders were agents of Communism. Buckley considered such views delusions. However, the John Birch Society indicated that its members could accept or reject these views of Welch, and Buckley for a time refrained from condemnation of the society as a whole. However, in 1965 Buckley decided upon an all-out condemnation of the John Birch Society, and an entire issue of National Review in October 1965 was devoted to a sharp attack upon the society. In his trial testimony Buckley referred to the views of the John Birch Society as "lunacies."

Buckley also testified that at one point National Review ran an eight-page

expose regarding a proto-fascist group called the Liberty Lobby.

Another example of Buckley's conflict with a radical right related to one Kent Courtney, whose group Buckley labeled at one time as "kooks," and who reciprocated with sharp attacks on Buckley.

Buckley testified that for fifteen years he has been subject to denunciation by various members of the "irresponsible right."

Buckley testified that his syndicated column and National Review do not pick up items from fascist or radical right journals in order to circulate them. On the other hand, National Review has referred to such items occasionally for the purpose of denouncing them. For instance, Buckley published two articles criticizing Playboy magazine for giving what Buckley considered undue attention to George Lincoln Rockwell and his American Nazi party.

Buckley specifically denied each of the allegations of the "Fellow Traveler" passage of Wild Tongues.

Allard Lowenstein, a former Democratic Congressman, a former chairman of the Americans for Democratic Action, and a present member of the Democratic National Committee, testified for Buckley. Lowenstein testified that Buckley cannot be

fairly described as either a fascist or a radical rightist or a fellow traveler of either. Lowenstein testified that it is "outrageous" to say that Buckley picks up materials from openly fascist journals. According to Lowenstein, Buckley has the reputation of a sophisticated journalist, not given to irresponsible personal attacks on individuals.

Warren Steibel, producer of Buckley's television program Firing Line, testified that if the caharcterizations of Buckley contained in Wild Tongues were to gain credence, the program could not remain on the air. The Ford Foundation would not sponsor it. Harry Elmlark, editor of Buckley's syndicated column On the Right, testified that, if Buckley acquired a reputation in accordance with the description in Wild Tongues, this would be the death of the column. Both Steibel and Elmlark stated that the accusations against Buckley in Wild Tongues are in fact false.

Littell's Evidence Regarding Alleged Libel

The authorities relating to the law of libel will be discussed later in this opinion, including the decisions regarding the First Amendment limitation on a libel action in the case of a public figure. However, I wish to summarize briefly at this point the basic rule regarding a public figure's burden of proof in a libel action.

A public figure such as Buckley cannot recover in a libel action unless he proves with convincing clarity that the defendant knew that the libelous statement was fales, or made the statement with reckless disregard of whether it was true or false. New York Times Co. v. Sullivan, 376 U.S. 254, 279-80, 285-86 (1964); Gertz v. Robert Welch, Inc., 418 U.S. 323, 336, 342 (1974). The reckless disregard requirement has been defined to mean that the defendant must be shown to have had an awareness of probable falsity. St. Amant v. Thompson, 390 U.S. 727 (1968). It is in the context of these basic rules that I am now setting forth a summary of the evidence about what Littell believed and did not believe regarding the statements made about Buckley in Wild Tongues.

Littell did not subscribe to National Review or read Buckley's column On the Right regularly, but read National Review and the column from time to time. His testimony is that he "spent a good many hours all in all reading into National Review" before the time he wrote Wild Tongues. Buckley was an occasional subject of discussion with Littell's colleagues and in seminars which Littell conducted. Certain books used in these seminars contained references to Buckley.

Littell testified that in about 1961 or 1962 he commenced keeping a file on Buckley. Littell maintained personal

journals in which he wrote down items of interest, including occasional notes regarding Buckley.

Littell did no research or checking in his files or elsewhere in connection with the writing of the passage about Buckley in Wild Tongues, except for producing the materials to Macmillan relating to his personal disagreement with Buckley in 1967-68. Littell has testified that he basically relied on memory and impressions in saying what he did about Buckley.

In connection with the trial of this action, Littell was asked to produce his file about Buckley, as well as the notes in his journals relating to Buckley and any other materials which might have formed a basis for the statements about Buckley in Wild Tongues. Littell produced some materials from his journals and files, but could not locate his complete collection. In addition, a large number of items from National Review and other publications were produced, which did not come from Littell's original files, but were obtained by Littell and his wife through research done in libraries and elsewhere in preparation for the trial of this action. Littell testified that he may not have read all of these items, and that there may have been other items not produced that he did read. But the items were produced as samples of the type of information which Littell says came to his attention over the years.

It is necessary to bear in mind that it is not Littell's burden under New York Times Co. v. Sullivan, supra, and the other relevant decisions, to show that he made an investigation regarding his statements or that he had a documentary basis for his statements. However, at the trial of this action, Littell testified in great detail regarding what he intended to say about Buckley in Wild Tongues, about his actual beliefs regarding Buckley, and the sources of those beliefs. This testimony, along with all the other relevant evidence, must be considered in determining whether Buckley has ultimately sustained his burden of proof.

> "There is no doubt that evidence of negligence, of motive and of intent may be adduced for the purpose of establishing, by cumulation and by appropriate inferences, the fact of a defendant's recklessness or of his knowledge of falsity." Goldwater v. Ginzburg, 414 F.2d 324, 342 (2d Cir. 1969), cert. denied, 396 U.S. 1049 (1970).

At the risk of repetition, I feel that it is necessary at this point to dwell again upon certain aspects of the meaning of the book. As already indicated, one of the basic purposes of the book was to help the reader to understand the distinction between a genuine conservative and a fascist or a fascist fellow traveler. Littell so

testified, stating that "the whole basic purpose of the book was to make a basic distinction between honest conservatism, however hardheaded, and fascism; and honest liberalism, however idealistic, and Communism or fellow traveling with Communism."

In drawing this important distinction between the genuine conservative and the fascist or the fascist fellow traveler, Littell in Wild Tongues gives his extensive elaboration of the meaning and identifying characteristics of fascism -- disloyalty to the government, advocacy of violence, disbelief in democratic institutions, desire for a totalitarian state, contempt for individual liberties, and so forth. I have already concluded that a normal reader would take the book to mean that Buckley -- the alleged "outstanding" fascist fellow traveler in America -- was knowingly associated with these basic purposes. Also, as indicated earlier, the book charges Buckley with disreputable journalistic practices -- deliberately purveying fascist propaganda under the guise of responsible conservatism, and committing libels against individuals.

It now is evident from Littell's trial testimony that there are substantial differences between what the book states and what Littell in fact believed.

Littell admitted at the trial that he does not in fact believe that Buckley is a fascist, or a fellow traveler of fascism,

or a fellow traveler of totalitarianism. He did not believe any of these things at the time he wrote Wild Tongues. He did not intend to say any of these things in Wild Tongues. What he does believe, and did believe when he wrote the book, is that Buckley was a fellow traveler of -- or "in the orbit of" -- the radical right.

On this point, the following testimony is illustrative. The court asked Littell about the matter of calling Buckley a fellow traveler of fascism.

> "Are you telling me you didn't mean to say that?
>
> THE WITNESS: No.
>
> THE COURT: You did not?
>
> THE WITNESS: Yes, I am telling you that.
>
> THE COURT: You did not mean to say that Mr. Buckley was a fellow traveler of the fascistsor fascism, is that right?
>
> THE WITNESS: That is right.
>
> THE COURT: You would say that that is false, to say such a thing, would you?

THE WITNESS: I certainly wouldn't say it that way."

Subsequently there was the following:

THE COURT: What is he a fellow traveler of?

THE WITNESS: He is a fellow traveler of the radical right or the right wing."

Littell, in his testimony, conceded the correctness of Buckley's differentiation between fascism and the radical right, to the effect that the radical right consists of dissatisfied, highly conservative Americans, lacking, however, the malign characteristics of fascism.

Littell agreed that the distinction between fascism and the radical right is not a narrow distinction or a mere matter of semantics. He testified:

"Now, if you speak looselv or carelessly, as is sometimes done, you will end up with a right wing or a radical right way of thinking, a reference which doesn't make the necessary distinction and somebody will think, well, when you say radical right you mean

fascism."[5/]

Littell advanced a two-step proposition in his defense: First, that in Wild Tongues, he only intended to call Buckley a fellow traveler of the radical right; and

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5/ It will be recalled that at p. 60 of Wild Tongues there is the statement:

> "So too with the term 'radical right': the phrase is preferred by some writers who do not want to apply the precise rubric, which is fascism."

The position taken in the book is that there are certain specific evils, which should be clearly understood as fascism. Buckley was labeled in the book as a fellow traveler of fasicsm.

In his trial testimony. Littell agreed that there is indeed a political grouping, distinct from fascism, known as the radical right, and Littell says that his actual belief is, and was, that Buckley is a fellow traveler of the radical right.

But Wild Tongues, by its own declaration is dealing with fascism, not the radical right; and the elaborately described characteristics of conspiratorial tactics, etc., clearly relate to fascism.

second, that he had a good faith belief in Buckley being a fellow traveler of this kind, which was supported by numerous sources which he testified about.

Putting aside momentarily Littell's testimony about what he intended to say in the book, the above evidence leads to the inescapable conclusion that there is a sharp difference between what the book actually says and what Littell believed. As I have already found, the book plainly and emphatically calls Buckley a fellow traveler of fascism. Moreover, the book is careful to indicate that the specific evils described therein relate to fascism, and not to something less dangerous -- such as the radical right. But Littell does not, and did not, believe that Buckley was a fascist, or a fellow traveler of fascism or totalitarianism.

This would seem to lead to the conclusion that this serious accusation against Buckley in Wild Tongues was made by Littell with knowledge of its falsity. But we must consider the contention, relied on by Littell, that he was acting in good faith, and had no knowledge of the falsity of the statement, because he did not intend to accuse Buckley of being a fellow traveler of fascism. On this point, I find Littell's testimony difficult to credit. It is hard to believe that the words which have such a plain meaning were not intended by their

author to have this meaning. At the very least, I must conclude that Littell was acting in reckless disregard of the truth when he used words which cearly spell fascism when he did not indeed believe they applied to Buckley. An author cannot "... automatically insure a favorable verdict by testifying that he published with a belief that the statements were true." St. Amant v. Thompson, 390 U.S. 727, 732 (1968).

Regarding the accusation in Wild Tongues that Buckley "functions as a deceiver," Littell testified that he did not believe that Buckley intentionally or deliberately acted in this way, but did so innocently. Littell testified:

> "I am not accusing Mr. Buckley of deliberately deceiving anybody."

Still later, there was the following colloquy:

> "THE COURT: But you would not have wanted to write expressly the statement, Mr. Buckley functioned as a deceiver intentionally for the John Birch Society or any of those other groups you referred to, right?
>
> "THE WITNESS: I agree."

Littell went so far as to testify that when he said in the alleged libelous passage that a fellow traveler "functions as a deceiver," he was simply speaking in general terms, not intending to refer to Buckley in particular. Moreover, with respect to his statement in Appendix 2 that a fellow traveler follows a line fixed by someone else "while pretending to integrity" -- Littell testified that this was "an infelicitous phrase."

Littell similarly retreated, at the trial, from the accusation in the book that Buckley was guilty of libelous journalistic practices in the manner of Westbrook Pegler. In the first place, Littell indicated that this serious charge was placed in the book almost by accident. Littell stated at the trial:

> "And it was just by chance that I even threw that phrase in. I might just as well not have, but it just happened to come up as I was writing."

At another point, Littell testified:

> "I mean it is more accidental than we are treating it, you see."

Specifically with regard to the question of whether Littell believed that Buckley had engaged in the same kind of defamation for which Pegler was found liable, Littell testified:

"THE COURT: As far as Buckley, let me get this straight. You did not believe that Buckley was leveling against these people personal remarks or attacks about their sexual habits, their morals, their business ethics, their cowardice, anything like that, did you?

THE WITNESS: I don't know of any case where he has done such a thing.

THE COURT: You did not mean to say that in this book?

THE WITNESS: No.

THE COURT: And you didn't know of any such case, right?

THE WITNESS: Right."

It would appear clear that, with respect to the allegations in Wild Tongues that "Buckley functions as a deceiver" and engages in libeous personal attacks on individuals, there are sharp differences between what the book says and what Littell actually believed. On the question of Littell's good faith, based on his contention that he did not mean to actually make these harsh accusations, again I find it difficult to credit Littell's testimony. And again I must conclude that Littell was at least acting in reckless disregard of the truth when he made

the accusations against Buckley contained in Wild Tongues.

What has just been said is nearly sufficient in and of itself to demonstrate the liability of Littell in this action. However, for the sake of completeness, it is necessary to describe in some detail other features of the evidence.

Additional Evidence Regarding Buckley's Political Orientation

In his testimony at the trial, Littell sought to explain the sources of his belief as to Buckley's political orientation.

Littell sought to show that Buckley had associated himself with organizations in which John Birch Society members and other radical right supporters were involved. Littell referred to the fact that at one time Buckley was listed as a Contributing Editor of The American Mercury. Littell produced an article from a 1953 issue of this magazine by J. B. Matthews entitled "Reds and Our Churches," in which Matthews charged that substantial numbers of Protestant clergymen were supporting the Communist apparatus.

Littell also referred to the fact that Buckley was listed as a participant in The Campaign for the 48 States, a group which apparently existed from 1955 to 1959, and

which favored certain proposed constitutional amendments to limit the power of the federal government. Littell's information is that 16 out of about 100 members of this group were subsequently affiliated with the John Birch Society.

Buckley was also listed as a member of The Committee Against Summit Entanglements, which was formed in 1959 to protest the visit of Kruschchev to the United States. According to Littell, most of the members were later identified with the John Birch Society.

Littell refers to the fact that in 1959 Buckley appeared at a rally in Chicago with Robert Welch of the John Birch Society and with another alleged prominent member of the radical right, Kent Courtney.

Buckley was also an organizer of the Committee for the Monroe Doctrine, which was formed in 1962 to protest President Kennedy's handling of the Cuban missile crisis. Littell asserts that about 26 out of 160 members were connected with the John Birch Society.

Littell does not even contend that this evidence shows that Buckley was a fellow traveler of fascism, as defined in Wild Tongues. Littell argues that the evidence does show that Buckley was in the orbit of the radical right. However, even on this

point, Littell was aware of the following circumstances.

Buckley broke with The American Mercury in 1955, and later took the position that no one connected with The American Mercury could appear on the masthead of National Review.

With respect to any possible alliance between Buckley and Kent Courtney, events in 1965 clearly showed that it no longer existed, if it ever had. At this time Courtney was attacking Buckley as "sophomoric," and Buckley was charging that Courtney and his followers were "kooks."6/

With regard to any claimed association with Robert Welch or the John Birch Society, it is clear that Buckley was never a member of the Society, nor does Wild Tongues make any accusation to this effect. As far as Littell thinking that Buckley was a sympathizer of the John Birch Society, Littell read the National Review item of November 19, 1963, in which Buckley denounced Welch

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6/ Information about Buckley's break with The American Mercury and Buckley's disagreement with Courtney are contained in a biographical summary of Buckley which Littell had read prior to writing Wild Tongues.

as a believer "in a cluster of political unrealities." In this article, however, Buckley stated that he had considerable admiration for the majority of those members of the John Birch Society whom Buckley had met or corresponded with -- judging them as individuals, not as members of the Society. Littell also knew that by 1965 Buckley had gone much farther in his repudiation of the John Birch Society. Littell read the October 19, 1965 issue of National Review, containing a sharp critique by Buckley both of the leadership of the John Birch Society and the activities of its members. Among the comments were:

> "One continues to wonder how it is that the membership of the John Birch Society tolerates such paranoid and unpatriotic drivel."

This issue of National Review contained an article by Frank S. Meyer, which concluded with the statement:

> "However worthy the original motivations of those who have joined it and who apologize for it, it is time for them to recognize that the John Birch Society is rapidly losing whatever it had in common with patriotism or conservatism -- and to do so before their own minds become warped by adherence to its unrolling psychosis of conspiracy."

It should be remembered that in Wild Tongues Littell charges that the John Birch Society is a fascist conspiracy. Littell reiterated this position at the trial. Also, at the trial Littell admitted that he did not really regard Buckley as a fascist or fascist fellow traveler. It is clear that Littell knew far more about the differences between Buckley and the John Birch Scoiety than he revealed in Wild Tongues.

At the trial of this action, Littell referred to some thirty items from National Review and Buckley's column On the Right as indicating that Buckley was a fellow traveler of the radical right. These items span the period from 1957 until the time of the publication of Wild Tongues. In general, Littell contends that these articles illustrate a coincidence of views between Buckley and the radical right, and also illustrate the fact that National Review was willing to accept articles from persons connected with the radical right.

For instance, Littell refers to an article in the October 5, 1957 National Review by Revilo Oliver, who later became a member of the board of the John Birch Society. Littell refers to an article in the June 19, 1962 National Review by Jacques Soustelle. Littell testified that Soustelle later became a leader of a fascist faction in French Algeria. Littell indicated that National Review had printed articles

"dozens of times" over a five-year period featuring people later exposed as genuine fascists.

However, the articles by Oliver and Soustelle are the only specific examples produced by Littell. Also, the contents of the articles are anything but fascist. The Oliver piece contains a harmless discussion of the slim chances of political conservatives in America. The Soustelle article protests against the possible abandonment by France of Algeria's French population.

Among the National Review articles which Littell points to as illustrating Buckley's right-wing orientation are the following:

June 29, 1957 (criticism of Supreme Court decision in Brown v. Board of Education);

May 8, 1962 (criticism of Ralph Bunche for alleged distortion of the activities of the Katanga Freedom Fighters);

May 31, 1966 (criticism of a proposed civil rights law which would cover the sale or rental of private houses).

In addition, Littell has produced photocopies of the covers of certain issues of National Review, showing that articles were being carried on the following subjects:

April 5, 1966 (favoring the police on the subject of proposed Civilian Review Boards);

May 16, 1967 (regarding Rhodesian Prime Minister Ian D. Smith, and calling him "Rhodesia's George Washington).

Unfortunately the articles themselves were not introduced into evidence by either party.

It would serve no useful purpose to set forth a detailed analysis of all these materials. However, I have reviewed them carefully. Far from supporting any conclusion that Buckley sympathizes with fascism, or with the conspiratorial, totalitarian methods and goals of fascism as outlined in Wild Tongues, these materials positively rebut any such conclusion.

Littell refers to three books as supporting his thesis that Buckley is a fellow traveler of the radical right. These are: The Extremists, by Mark Sherwin, published in 1963; Danger on the Right, by Arnold Forster and Benjamin R. Epstein, published

in 1964; and The Farther Shores of Politics, by George Thayer, published in 1967. Littell testified that Danger on the Right was perhaps his most important source. Indeed, Danger on the Right has an entire chapter on Buckley and National Review. This book states (p. 241):

> "...Buckley is one of the leading fellow travelers of the American Radical Right."

Danger on the Right attempts to develop the thesis that Buckley allows himself to be linked with persons and organizations on the radical right, including the John Birch Society, and has views often coinciding with such persons and organizations.

It should be noted that Danger on the Right was written before Buckley's thoroughgoing denunciation of the John Birch Society in 1965, as Littell well knew. Moreover, at its worst, Danger on the Right falls far short of linking Buckley to fascism, as is done in Wild Tongues. The same is true of the other two books referred to.

Littell testified that he had increasing suspicions about whether Buckley was connected with the radical right (although not fascism), which developed over a period of several years before the writing of Wild Tongues. Yet, it is interesting to

note Littell's testimony in response to questioning about whether he had made any public comments during these years connecting Buckley with the radical right. Littell stated that the bishops of his church set up a committee on extremism in 1964 and appointed Littell chairman, and that from 1964 to 1969 he lectured frequently on the dangers of extremism throughout the country. Sometimes a question would come up about where Buckley stood in the political spectrum. Littell testified:

> "Q Over that five-year period when Buckley's name came up from time to time in these lectures did you ever describe him as a fellow traveler of the radical right?
>
> A I don't think that I ever did."

Littell testified that his "basic attack" during this time was on the John Birch Society and on its various fronts, and on people "who clearly were following the line," such as Billy James Hargis and Edgar Bundy.

Littell testified that at some point his misgivings about Buckley's political complexion led him to discuss the matter with two persons who were mutual friends of himself and Buckley -- Joseph Uihlien and Will Herberg. Littell cannot clearly remember the times of these conversations, but believes that they were before the fall

of 1967, when Littell's correspondence with Buckley started. According to Littell, he told both Uihlien and Herberg that he thought Buckley was in the orbit of the radical right, or "playing footsie" with the radical right. Both Uihlien and Herberg told Littell that he was mistaken. Herberg stated that Buckley was trying to give a new birth to conservatism in America. They both urged Littell to check with Buckley.

According to Littell, he did write to Buckley. The correspondence which he has identified in this regard is the same correspondence involved in the dispute which Littell had with Buckley in late 1967 and early 1968, which has been described earlier in this opinion. Certain statements of Littell's in his letters to Buckley are of interest on the subject of whether or not Littell actually believed that Buckley was a fellow traveler of fascism or the radical right.

In a letter of November 21, 1967 to Buckley, Littell stated:

> "I appreciated your recent newpaper column attempting again to distinguish between honest conservatism and fascist activities.
>
> * * *
>
> "Since I appreciate some of the positions you have taken, and especially your effort to maintain an honest

> and non-fascist conservatism, I think it appropriate to protest that I was misinterpreted in your magazine and that you must remember that one of the marks of a real conservative is that he checks his sources."

In a letter dated January 14, 1968 to Buckley, Littell stated:

> "I have often taken pains in response to questions following public lectures to distinguish your work from that of the fascists, and I think that if you are truly a conservative you will agree that I am entitled to the same courtesies."

Finally, in a letter dated February 14, 1968 to Buckley, Littell stated:

> "I have not received an answer to my letter to you under date of January 14th, in which I protested the misuse of my paper prepared for the NEA Conference last summer in the Twin Cities, and pointed out to you that honest conservatives and honest liberals should stand together against the Extremist elements. I also indicated that I have carefully avoided attacking you in public because I believe that you belong to the former category."

Littell now takes the position that he was not completely sincere in these rather complimentary statements, but that he was simply being diplomatic. However, it is my view that these statements do indeed have significance in showing Littell's belief about Buckley at that time. They are consistent with his admission that in five years of public lectures he never stated that Buckley was a fellow traveler of the radical right.

Littell testified that the turning point in his decision that Buckley belonged in the orbit of the radical right came as a result of Buckley's column of February 10, 1968, referred to earlier in this opinion, entitled "Who Are the Totalitarians?" It would serve no useful purpose to analyze this particular problem in any further detail. Whether or not "Who Are the Totalitarians?" would justify anybody in linking Buckley to the radical right is a subject which could be debated. But for the purposes of the present case, it is sufficient to say that there is nothing whatever in this article which comes close to indicating <u>fascist</u> sympathies on the part of Buckley. Indeed, no such contention is made by Littell.

Additional Evidence Regarding Buckley Picking Up Items From "Openly Fascist Journals"

I have already described Littell's testimony that he did not believe that Buckley was intentionally using his journalistic position to purvey fascist doctrine, although this may have occurred "innocently." Moreover, I have already held that this is contrary to what the book actually stated. I will now refer to certain additional evidence on this subject.

Littell testified that over the years he had learned, either through his own reading or through information from students and others, of ten or a dozen instances where Buckley's writing "showed a most marvelous parallel to what appeared in the other radical right journals."

When asked to list what he was referring to in Wild Tongues as "openly fascist journals," Littell specified the John Birch Society's Bulletin, Kent Courtney's Independent American, Gerald L. K. Smith's The Cross and the Flag, H.L. Hunt's Life Line, Frank Capel's Herald of Freedom, and Billy James Hargis' Churchmanship and Politics. After indications of some doubt, Littell also included Edgar Bundy's News and Views.

Although Littell testified that he did considerable research prior to the trial, he

was only able to provide two specific instances of coincidence between Buckley's writings and materials in the alleged fascist journals. Both of these instances related to criticisms of Littell's Institute for American Democracy ("IAD").

The IAD was publicly announced on November 18, 1966. The John Birch Society Bulletin made an attack upon the IAD on December 10, 1966. Frank Capell's Herald of Freedom attacked the IAD in the issue of December 30, 1966. One month later on January 10, 1967, a criticism of the IAD appeared in National Review. In the January 1967 issue of Bundy's News and Views there was also an attack upon the IAD. Other items appeared in Richard Cotten's Conservative Viewpoint of January 12, 1967, and in a publication issued by Billy James Hargis in early 1967.

It also appears that in the June 10, 1968 issue of News and Views there was a further attack on the IAD -- and on Steve Allen. Buckley's column On the Right for July 25, 1968 contained a similar criticism. Both of these items apparently resulted from Steve Allen's appeal for funds for the IAD. Finally, the November 1968 issue of News and Views again attacked the IAD. The latter item was expressly cited, with approval, in the November 1968 issue of National Review.

The conclusion I reach from the evidence on this subject is that Littell had an honest belief that there were instances where items of information and points of view were written about by Buckley and by the right-wing publications Littell referred to. Whether the latter publications could reasonably be classified as "fascist" is a question which I am not prepared to resolve on the present record, and do not need to resolve. The main point is that Wild Tongues accuses Buckley of deliberately acting as a deceiver in the purveying of fascist material. This accusation materially exceeded anything which Littell honestly believed.

Additional Evidence Regarding the Comparison with Westbrook Pegler

I must return at this point to the following passage in Wild Tongues (p. 51):

> "Like Westbrook Pegler, who lied day after day in his column about Quentin Reynolds and goaded him into a lawsuit, Buckley could be taken to court by any one of several people who had enough money to hire competent legal counsel and nothing else to do. Reynolds won his suit, of course, but it took all of his time and resources for most of three years, and he died shortly thereafter."

One question explored at the trial was whether Littell knew what it was that Westbrook Pegler had done which constituted the basis for this alleged comparison. Littell testified that he had read a description of the Reynolds v. Pegler libel action shortly before writing Wild Tongues. Littell admitted that it would be a reasonable assumption that he knew the nature of Pegler's lies about Reynolds when he wrote Wild Tongues. However, later in his testimony Littell denied that he really had in mind the scope of what Pegler had done. Littell's basic position on this issue was that he was not in fact attempting to draw an actual comparison between Buckley and Pegler, or to say that Buckley lied about individuals in the way that Pegler had done.

Littell testified that what he meant to say was that Buckley misrepresented the positions of prominent persons such as Martin Luther King, Jr., the Kennedy brothers, and other prominent persons in politics and religion. What Littell had in mind was that Buckley "hounded" and "goaded" such people, rather than lying about them in any conventional sense.

Littell particularly objected to what he termed Buckley's attacks on Martin Luther King, Jr. Littell referred to a Buckley column of October 21, 1962, in which Buckley questioned the motivation of King, a renowned exponent of peaceable

opposition to racial segregation, in seeking an interview with Ahmed Ben Bella, said by Buckley to be a confirmed, militant terrorist in Algeria. Littell also referred to Buckley's column of August 18, 1965 commenting on the Watts riot, and making a general denunciation of lawlessness. This column referred to "the anarchic teachings of Mario Savioz [the leftist student leader] and the Martin Luther Kings and their disciples." Finally, Littell referred to Buckley's column of April 10, 1968, commenting upon the assassination of King. This column expresses deep sorrow about King's death, speaking of it as martyrdom, but also notes that King was planning to flout the law at the time he was killed.

Nevertheless, when Littell was asked if he knew of any instances when Buckley actually lied about King, Littell responded that "lies are matters of fact," and he could recall no instances of such lies.

Littell produced from Buckley's writings a number of other instances of sharp attacks upon individuals. For instance, there was an item in National Review of June 29, 1957 referring to A. J. Muste, Dorothy Day, and Stringfellow Barr as "pacifist fellow-travelers" with strong implications that they were allied with Communism or socialism. A. J. Muste and Dorothy Day were both religious leaders whom Littell greatly admired. Stringfellow Barr was

president of St. John's College in Annapolis, Maryland.

A later example is a National Review item of December 27, 1966 in which it is said that Murray Kempton of the New Republic and New York Post "can't stand the F.B.I. because the F.B.I. can't stand Communists." Littell testified that in his view this was "a slanderous reference."

There was reference at the trial to the libel action by Linus Pauling against National Review. Pauling charged that he had been libeled by two articles in the National Review of July 17, 1962 and September 25, 1962, in which Pauling had been called a Communist fellow-traveler. Littell was asked whether he relied on this situation in connection with the writing of Wild Tongues, and Littell said that he did not. He stated that "Pauling is not one of my favorite people." In any event, the action by Pauling was dismissed. It was held that, although the statements were libelous per se, Pauling had not sustained his burden of proving knowing falsity or reckless disregard for the truth. Pauling v. National Review, Inc., 49 Misc. 2d 975, 269 N.Y.S. 2d 11 (Sup. Ct. N.Y. County 1966), aff'd, 27 A.D. 2d 903 (1st Dept. 1967), aff'd, 22 N.Y.2d 818 (1968).

There is no doubt that Littell in good faith had strong reservations about Buckley's

journalistic tactics in denouncing various individuals. But the fact still remains that what was said in Wild Tongues exceeded by a substantial distance what Littell actually believed. The passage in Wild Tongues makes an emphatic reference to Westbrook Pegler and to how he "lied day after day in his column." Littell now concedes that he did not believe that Buckley engaged in this type of activity.

The following testimony sums it up:

"Q All right. Now, did you intend to say, referring to this Westbrook Pegler reference, did you mean to say that Mr. Buckley lied day after day about any individual?

A I don't think he did.

THE COURT: You what?

THE WITNESS: I don't think he did."

Littell now concedes that what he said in Wild Tongues on this point was "infelicitous."

Conclusion Regarding Littell's Belief

For the reasons set forth above, I find that certain statements in Wild Tongues regarding Buckley were made by Littell with

knowledge of their falsity, or in reckless disregard of whether they were true or false, and that this has been demonstrated with convincing clarity. The statements I am referring to are, of course, those which I have discussed -- i.e., that Buckley is a fellow traveler of fascism, as these terms are defined in Wild Tongues; that Buckley acts as a deceiver and uses his journalistic position to spread materials from openly fascist journals; and that Buckley engages in libelous journalism against individuals.

There are certain other statements in the "Fellow Traveler" passage of Wild Tongues which Buckley contends are false and exaggerated. These include the reference to Buckley's book God and Man at Yale being condemned by alumni and others, and the statement that Buckley has been caught at misquotation. In my view, such statements do not in and of themselves constitute libelous material. Nor would a discussion of these points add anything of substance to what has already been said about the really serious parts of the "Fellow Traveler" passage.

The Law of Libel

It is conceded that the state law of libel of New York governs this case, except to the extent that it is modified or limited by federal constitutional standards.

Under New York law, a writing is libelous per se if it tends to expose a person to hatred, contempt or aversion, or to induce an evil opinion of him in the community. Mencher v. Chelsey, 297 N.Y. 94, 100 (1947). A writing is also libelous per se if it tends to injure a person in his professional capacity. November v. Time, Inc., 13 N.Y. 2d 175 (1963). The rules regarding damages will be discussed later in this opinion, but it should be said at this point that the basic effect of holding a writing to be libelous per se is that a judgment may be had without proof of "special damages." The Supreme Court has recently announced certain First Amendment limitations on libel damages. Gertz v. Robert Welch, Inc., 418 U.S. 323 (1974). To the extent relevant, this subject will be discussed later in this opinion.

Our attention has been called to no case which specifically holds that it is libelous to call a person a fascist or a fascist fellow traveler. However, there are cases in New York holding that it is libelous per se to accuse a person of being a Communist, a Communist sympathizer, or a fellow traveler of Communism. Toomey v. Farley, 2 N.Y.2d 71 (1956); Mencher v. Chelsey, 297 N.Y. 94 (1947); Pauling v. National Review, Inc., supra.

The court of appeals for this circuit has thus interpreted New York law. Grant

v. Reader's Digest Ass'n., Inc., 151 F.2d 733 (2d Cir. 1945), cert. denied, 326 U.S. 797 (1946). In the latter case Judge Learned Hand stated, after discussing various New York decisions (151 F.2d at 735):

> "Being the last decision of the state courts, it is conclusive upon us, unless there is a difference between saying that a man is a Communist and saying that he is an agent for the Party or sympathizes with its objects and methods. Any difference is one of degree only: those who would take it ill of a lawyer that he was a member might no doubt take it less so if he were only what is called a 'fellow-traveler'; but, since the basis for the reproach ordinarily lies in some supposed threat to our institutions, those who fear that threat are not likely to believe that it is limited to party members. Indeed, it is not uncommon for them to feel less concern at avowed propaganda than at what they regard as the insidious spread of the dreaded doctrines by those who only dally and coquette with them, and have not the courage openly to proclaim themselves."

In my view, the rationale of these decisions dictates a holding that the "Fellow Traveler" passage in Wild Tongues is libelous per se as to Buckley. It goes

without saying that fascism is almost uniformly regarded in this country as something odious and totally contrary to our way of life and institutions. The concepts of fascism and fascist fellow traveling are described in Wild Tongues in elaborate detail to indicate the variety of evils connected with them, considerable emphasis being placed on the threat of conspiracy and subversion. Moreover, there is no question that Buckley's professional capacity as a journalist is subjected to a scurrilous attack in Wild Tongues.

Application of First Amendment Decisions

It is well known that beginning with New York Times Co. v. Sullivan, 376 U.S. 254 (1964), the Supreme Court has enunciated certain limitations upon state libel laws, in order to prevent undue infringement of the First Amendment right of free speech. A thorough discussion of the way the New York Times Co. v. Sullivan rule has been developed in the various Supreme Court decisions is set forth in Justice Powell's opinion for the majority of the Court in Gertz v. Robert Welch, Inc., 418 U.S. 323, 334-39 (1974).

A "public figure" such as Buckley, in order to recover in a libel action, must prove with convincing clarity that the

alleged libelous statement was made with knowledge of its falsity or with reckless disregard for the truth or falsity of the statement. On the basis of my analysis of the facts of this case, I have already held that Buckley has sustained his burden of proof in this regard as to the statements made about him by Littell in Wild Tongues.

There are certain points which require further discussion in dealing with the rules announced in the Supreme Court decisions. In the first place, I am acutely aware that the subject matter in Wild Tongues relates to political debate and that any restrictions upon such debate are looked upon with extreme caution. The Supreme Court in New York Times Co. v. Sullivan stated (376 U.S. at 270):

> "Thus we consider this case against the background of a profound national commitment to the principle that debate on public issues should be uninhibited, robust, and wide-open, and that it may well include vehement, caustic, and sometimes unpleasantly sharp attacks on government and public officials."

However, the Supreme Court has made it clear that even in the area of political debate and discussion, there must be a recognition at some point of the interest of the individual in protecting his reputation against libelous falsehoods. This protection is accorded even to prominent public

officials and other public figures, who have exposed themselves to the sharpest public scrutiny and criticism. The Supreme Court has stated in Garrison v. Louisiana, 379 U.S. 64, 75 (1964):

> "Hence the knowing false statement and the false statement made with reckless disregard of the truth, do not enjoy constitutional protection."

See also Goldwater v. Ginzberg, 414 F.2d 324 (2d Cir. 1969), cert. denied, 396 U.S. 1049 (1970). It is clear that there is no absolute and unconditional privilege against libel in the realm of political discussion any more than in any other area. Gertz v. Robert Welch, Inc., 418 U.S. 323, 341 (1974).

Another question requiring discussion is whether the passage in Wild Tongues about Buckley is nonactionable because it deals with opinion, rather than with matters of fact. A related question is raised by Littell's defense of "fair comment."7/

7/ Macmillan originally asserted two other defenses -- privilege of reply and the theory that Buckley had already disclosed the defamatory material in his own publications. Littell has not pressed these defenses. In any event, there is no evidence to support them.

The defense of fair comment under New York law has been described in Julian v. American Business Consultants, 2 N.Y.2d 1 (1956), where the New York Court of Appeals affirmed a dismissal of a libel action, involving statements about plaintiff's affiliation with a Communist organization. The court stated (2 N.Y.2d at 9):

> "Under the rule of fair comment even if the publication holds one up to public ridicule, contempt and reproach or prejudices one in his opportunity to earn a living, nevertheless it is not actionable if facts form a reasonable basis of inference."

The Supreme Court has provided the following formulation of the rule about fair comment, which would apply in a libel action by a public official or a public figure. The Court stated in New York Times Co. v. Sullivan, 376 U.S. 254, 292 n.30 (1964):

> "Since the Fourteenth Amendment requires recognition of the conditional privilege for honest mistatements of fact, it follows that a defense of fair comment must be afforded for honest expression of opinion based upon privileged, as well as true, statements of fact. Both defenses are of course defeasible if the public official proves actual malice, . . ."

It is clear that when the Court speaks of "honest expression of opinion based upon privileged . . . statements of fact," the Court is speaking of opinions based upon facts "privileged" in the sense that there has not been proof of knowing falsity or reckless disregard for the truth, under the constitutional standard.

In considering the question of whether the statements in Wild Tongues about Buckley are protected opinions, it is necessary to bear in mind the following:

> "Under the First Amendment there is no such thing as a false idea. However pernicious an opinion may seem, we depend for its correction not on the conscience of judges and juries but on the competition of other ideas. But there is no constitutional value in false statements of fact. Neither the intentional lie nor the careless error materially advances society's interest in 'uninhibited, robust, and wide-open' debate on public issues." Gertz v. Robert Welch, Inc., 418 U.S. at 339-40.

The boundary line between "fact" and "opinion" is obviously not a precise one. To call someone a fascist fellow traveler is perhaps not as concrete a statement of fact as to say that someone committed a theft, or did some other similar deed. On

the other hand, when Littell speaks of Buckley as a fellow traveler of fascism, indicating that he sympathizes with and promotes conspiracy and subversion, and when Littell accuses Buckley of deceptive and improper journalistic practices -- we are surely dealing with questions of fact, and not merely ideas. To the extent that Littell's statements may represent conclusions or opinions, for the reasons I have discussed in detail, I must hold that they were not an "honest expression of opinion based upon privileged . . . statements of fact."

Another question to be dealt with is whether recovery should be denied on the theory that the language used by Littell about Buckley was mere "rhetoric" or "epithet." In Letter Carriers v. Austin, 418 U.S. 264 (1974), the Supreme Court held that the New York Times Co. v. Sullivan standard was applicable under federal labor law to a particular type of labor controversy. The Court held that libel recovery was barred in a situation where the plaintiffs, who had refused to join a union, were called "scabs" and where a well-known, and highly inflammatory, piece of trade union literature by Jack London had been circulated in order to define what a scab was. The Court held that there was no reckless or knowing falsehood since the application of the word scab to plaintiffs was literally true, under a dictionary definition. Moreover, the Court

held that the mere use of undefined slogans, or the use of words in a loose figurative sense, would not be actionable in the arena of debate. The Court stated (418 U.S. at 284):

> "As the Court said long before Linn, in reversing a state court injunction of union picketing, 'to use loose language or undefinied slogans that are part of the conventional give and take in our economic and political controversies -- like "unfair" or "fascist" -- is not to falsify facts.' Cafeteria Employees Local 302 v. Angelos, 320 U.S. 293, 295 (1943). Such words were obviously used here in a loose, figurative sense to demonstrate the union's strong disagreement with the views of those workers who oppose unionization. Expression of such an opinion, even in the most perjorative terms, is protected under federal labor law."

In Greenbelt Cooperative Publishing Association v. Bresler, 398 U.S. 6 (1970), the Court held that there could be no recovery based on the use of the word "blackmail" because it was clear from the context that the word was no more than "rhetorical hyperbole, a vigorous epithet" and did not indeed charge the crime of blackmail (398 U.S. at 14).

But the Supreme Court has clearly indicated that if rhetorical terms are used in such a way as to convey a false representation of fact, such words may be actionable. Letter Carriers v. Austin, 418 U.S. at 286.

For reasons which have already been amply described, this case involves far more than mere rhetoric or loose, undefined slogans or epithets. Wild Tongues makes the elaborately defined and deliberate misstatements of fact which are actionable.

One final point relates to the question of the degree of difference between what Littell believed and what he said in Wild Tongues about Buckley. It would seem clear that under certain circumstances, one might find a difference between an alleged libelous statement and the belief held by the maker of the statement which would be too narrow to justify a holding that the statement was made with knowing falsity or in reckless disregard of the truth. In a given case, the difference might involve a mere problem of semantics, an innocent exaggeration, or "errors of interpretation or judgment" as was the case in Time, Inc. v. Pape, 401 U.S. 279, 290 (1971). I have analyzed the record in this case to determine whether we have such a situation here. My conclusion is definitely to the contrary. For the reasons which I have already described, it is my view that the statements about Buckley in Wild Tongues, taken in their

totality, make accusations against Buckley which are substantially and importantly different from what Littell actually believed. To ignore the sinister connotations of fascism and fellow traveling as defined in Wild Tongues, and to ride over the distinction between those concepts and something substantially less dangerous such as the "radical right," would be to close our eyes to matters which the parties to this action, including Littell, agree have important significance.

Damages

Under New York law, damages in a libel action fall into two categories -- compensatory and punitive. Faulk v. Aware, Inc., 231 N.Y.S.2d 270, 274 (Sup. Ct. N.Y. County 1962). Compensatory damages fall into three subcategories -- nominal damages, general damages and special damages. Restatement of Torts §§ 620-623 (1938); 1 Harper and James, The Law of Torts, 468-73 (1956).

The Supreme Court has held, in a case dealing with a person other than a public official or a public figure, that "presumed damages" (where no proof of actual injury is shown) and punitive damages, cannot be recovered in the absence of a showing of knowledge of falsity or reckless disregard for the truth, within the meaning of New York Times Co. v. Sullivan. Gertz v.

Robert Welch Co., Inc., 418 U.S. 323, 349-50 (1974).

However, in the case of a public figure, proof of knowing falsehood or reckless disregard for the truth is necessary in order to establish liability in a libel action. It follows that there is no constitutional bar in this situation to the recovery of presumed and punitive damages. See Curtis Publishing Co. v. Butts, 388 U.S. 130, 138, 159-61 (1967); Goldwater v. Ginzburg, 414 F.2d 324, 340 (2d Cir. 1969), cert. denied, 396 U.S. 1049 (1970).

With regard to compensatory damages, there has been no showing by Buckley of any actual injury occurring as a result of Wild Tongues. There is no evidence whatever to indicate any actual diminution in Buckley's standing with the public or in the journalistic world. This would preclude any award of special damages.

"General damages" can be awarded where, in spite of a failure to prove special or actual damages, there is sufficient evidence to permit an inference of some general impairment to the plaintiff's reputation or to his other interests. Restatement of Torts § 621. However, the evidence is not such as to permit even a finding of such general damages.

I find it appropriate to award nominal damages only, plus punitive damages as will

be described shortly. The Harper and James treatise describes the basis for the award of nominal damages, and the description applies to the present case (1, p. 468):

> "The law 'presumes' some damage to reputation from the defamation itself. Moreover, the action for defamation performs a vindicatory function which, when the plaintiff is unable to prove damage and the circumstances are such that the jury is unwilling to infer actual damages in fact, can be attained only by the allowance of nominal damages. Accordingly, if the plaintiff shows the publication of language which is actionable per se, it is not necessary for him to prove any damages at all."

It should be noted that in both Reynolds v. Pegler and Goldwater v. Ginzburg the juries awarded nominal damages of $1.00 under the compensatory heading, prior to awarding punitive damages.

Under the New York law, punitive damages may be awarded where the publication is activated by a desire to harm the plaintiff, or where the publication is so recklessly made as to indicate a heedless disregard for the rights of others. Clevenger v. Baker Voorhis & Co., 243 N.Y.S. 2d 231, 232 (1st Dept. 1963), aff'd, 14 N.Y.2d 536 (1964).

The purpose of punitive damages is to assist in protecting the plaintiff's reputation, and to protect against like abuse of other persons similarly situated. Goldwater v. Ginzburg, supra at 341.

I hold that punitive damages in the present case are appropriate and justified. It is clear from all that I have said previously that Littell's statements about Buckley in Wild Tongues were at least made with reckless disregard of the effects upon Buckley. My award of punitive damages is in the sum of $7,500. Although this award is moderate in comparison with the awards in some cases, it is a substantial sum of money to an author such as Littell.

I decline to grant Buckley's request for attorneys' fees.

Conclusion

For the foregoing reasons, Buckley is entitled to judgment in the amount of compensatory damages of $1.00 and punitive damages of $7,500.

The Clerk is directed to enter judgment in accordance with this opinion.

Dated: New York, New York
May 23, 1975

/s/ Thomas P. Griesa
U.S.D.J.